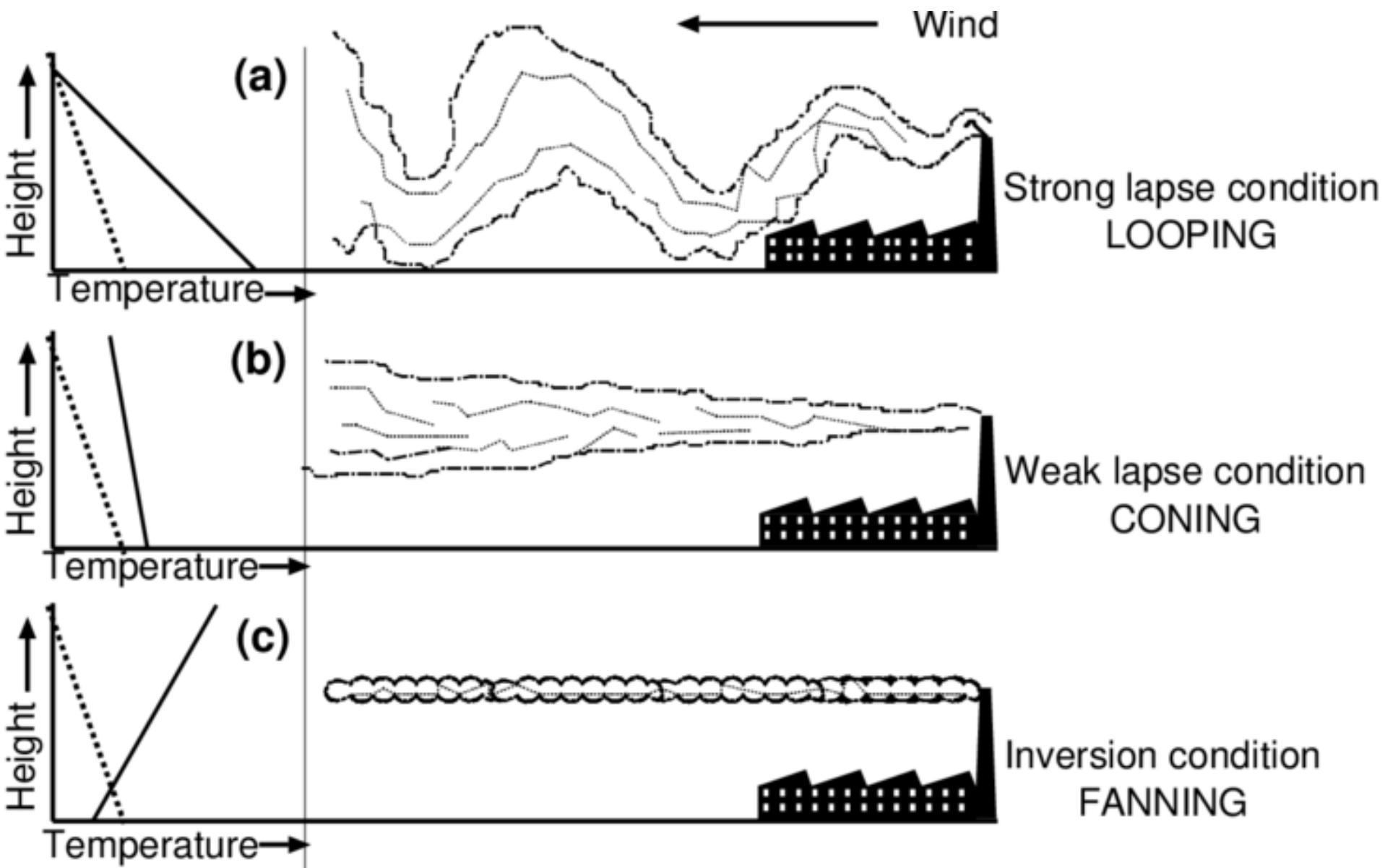


# **Planetary Boundary Layer (PBL) Height Estimation: Methodology and Case Study using NAST-I FIREX-AQ Field Campaign Data**

**Hyun-sung Jang**<sup>1,2</sup>, Daniel K. Zhou<sup>2</sup>, Xu Liu<sup>2</sup>, Wan Wu<sup>2</sup>,  
Allen M. Larar<sup>2</sup>, and Anna M. Noe<sup>2</sup>

<sup>1</sup>Analytical Mechanics Associates

<sup>2</sup>NASA Langley Research Center



# Background

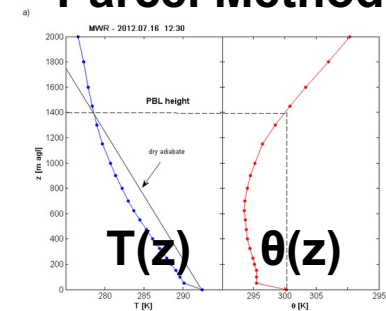
From NASA PBL incubation Study Team's PBL research overview,

*"Improved understanding and prediction accuracy of the atmospheric Planetary Boundary Layer (PBL) and the ability to make significant advances in several PBL application areas (e.g. **air quality and human health, improved forecasting of severe storms, improved climate projections, renewable energies**) are currently constrained by the lack of global PBL observations at sufficient spatial and temporal resolution and sampling. Current satellite observations from techniques such as radio occultation, microwave sounding and imaging, and **infrared sounding** are key for sampling PBL conditions over remote regions, such as the oceans and high latitudes. However, satellite capabilities to penetrate and resolve the vertical structure of the PBL are still limited."*

(Teixeira et al., NASA PBL Incubation Study Team, 2021)

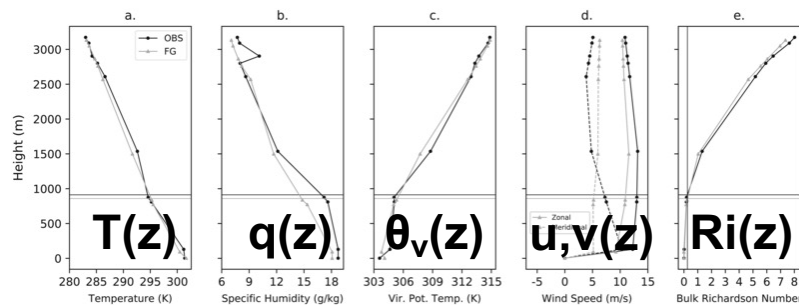
Straightforward definition but numerous existing methodologies (and continuing research)

## Parcel Method



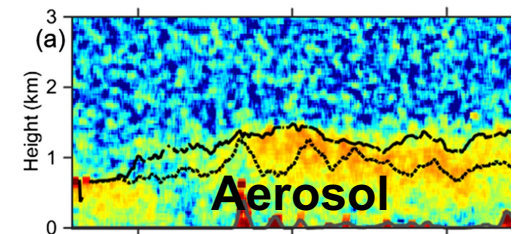
Collaud Coen et al. (ACP, 2014)

## Bulk Richardson Number



Lavers et al. (JGR: Atmos., 2019)

## Max. Standard deviation Method



Tianning Su et al. (JGR: Atmos., 2017)

# Instrument, Campaign, and Algorithm

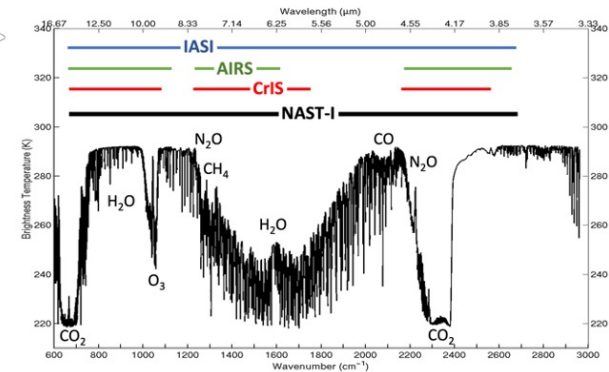
## NAST-I (National Airborne Sounder Testbed – Interferometer)



### Instruments

- AirMSPI-1 (JPL)
- AVIRIS-C (JPL)
- S-HIS (UW)
- CPL (GSFC) and eMAS (ARC/GSFC)
- GCAS (GSFC) and NAST-I (LaRC), Jul 22 - Aug 5; HyTES (JPL), Aug 5-18

Instrument layout for FIREX-AQ NASA ER-2 aircraft



NAST-I spectral coverage encompasses all satellite IR sounders with a higher (or equivalent) spectral resolution and higher spatial resolution.

- 8632 channels ( $0.25 \text{ cm}^{-1}$  spectral resolution)
- 2.5 km of spatial resolution

## FIREX-AQ (Fire Influence on Regional to Global Environments and Air Quality)



- Summer in 2019 (NAST-I observations are mainly obtained during August)
- Western desert and mountain area of the United States

## SiFSAP (Single Field-of-view Sounder Atmospheric Product)

- PCRTM (Principal Component-based radiative transfer model) based retrieval algorithm
- Physical retrieval method

# Key Concept

## Question:

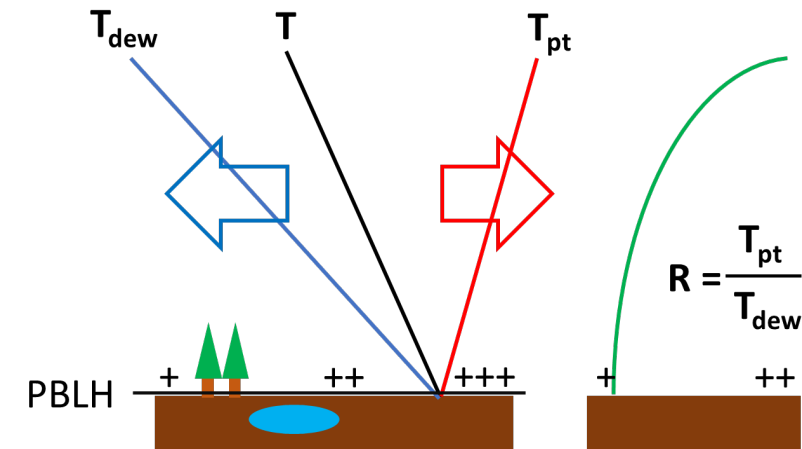
How can we improve vertical sensitivity for detecting PBLH using “given T/q information” from NAST-I? (i.e., Which form of T/q would be most effective for PBLH estimation?)

## Our Direction to Answer:

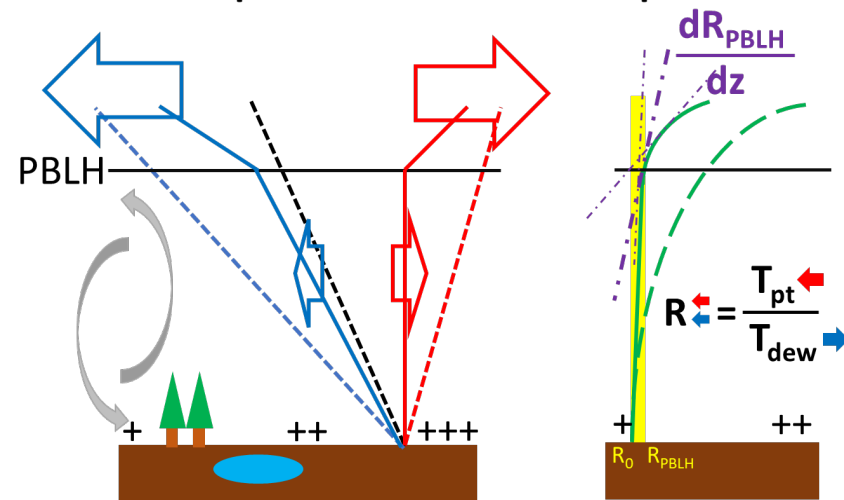
Zhou et al. (*AMS conference*, 2024) reported a possible linkage between **PBLH** and the **ratio (R) of potential temperature ( $T_p$ ) to dewpoint temperature ( $T_d$ )**

Jang et al. (*NASA PBLH community meeting*, 2024) provided a qualitative explanation of why the ratio can be linked to PBLH and discussed potential benefits of using it

Atmosphere with shallow PBL (Baseline)



Atmosphere with well-developed PBL



# Potential Benefits for Adopting Ratio

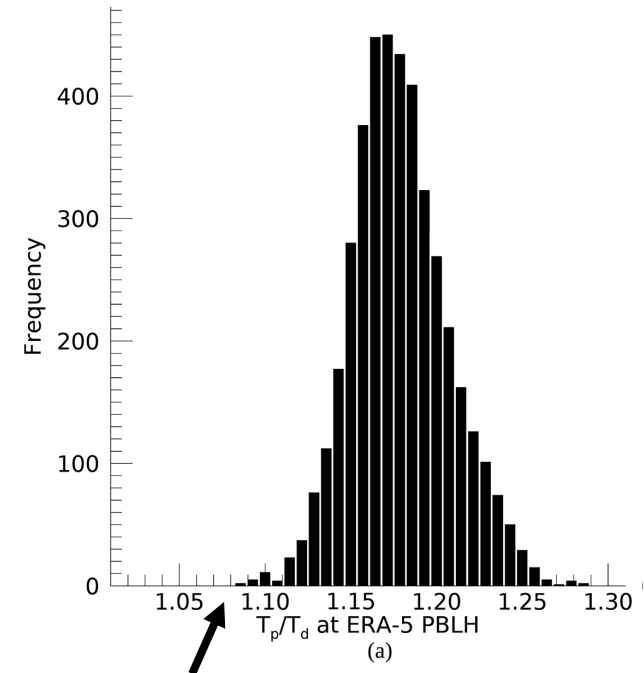
Also, we might expect:

## 1. Possible cancellation of **local temperature**

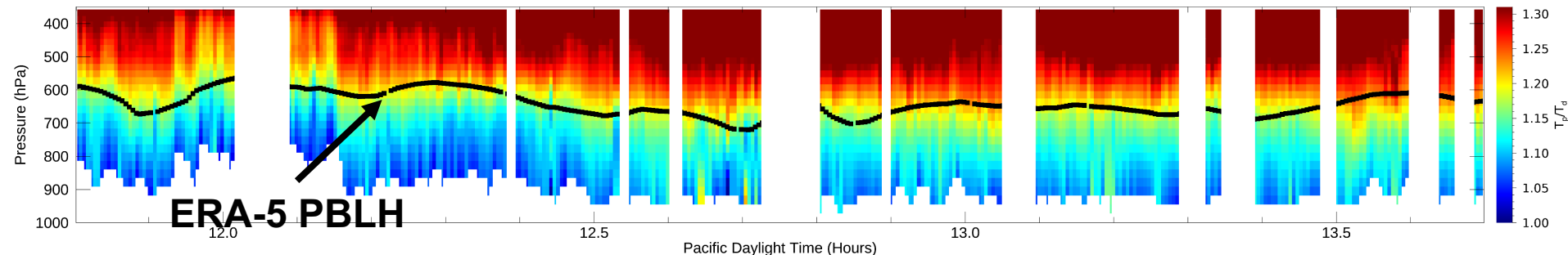
- $T_p$ (temp., internal energy...) /  $T_d$ (temp., humidity ...)
- A single peak histogram when stratifying R along with ERA-5 PBLH

## 2. Possible cancellation of **correlated random errors** in $T_p$ and $T_d$ retrievals

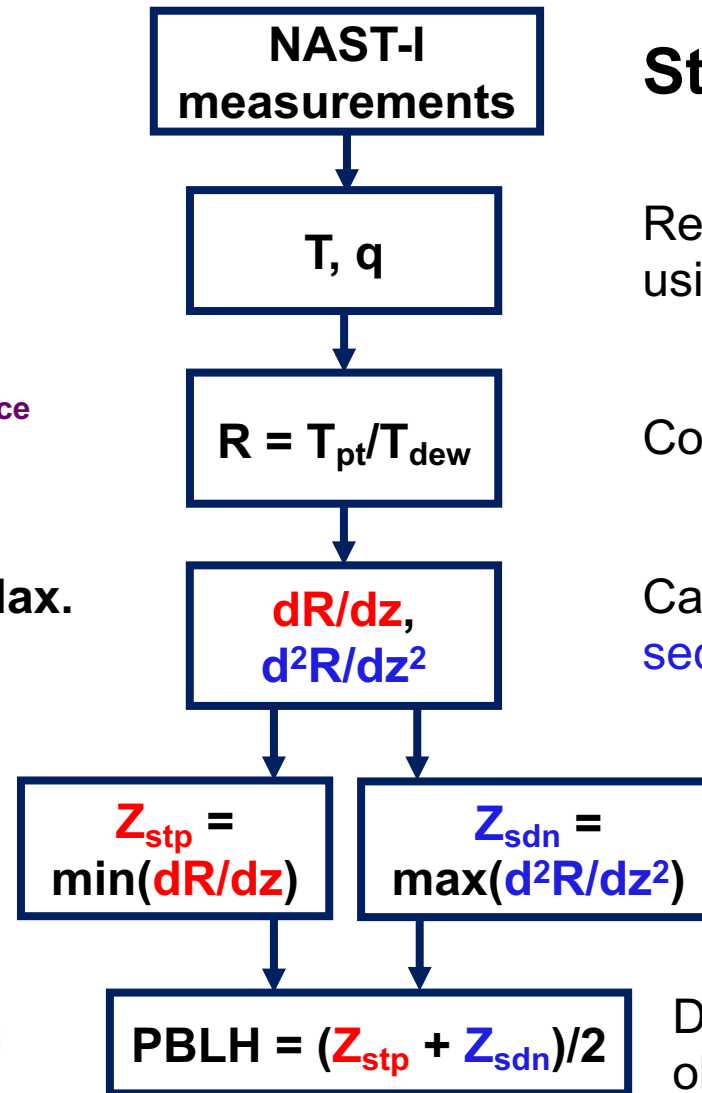
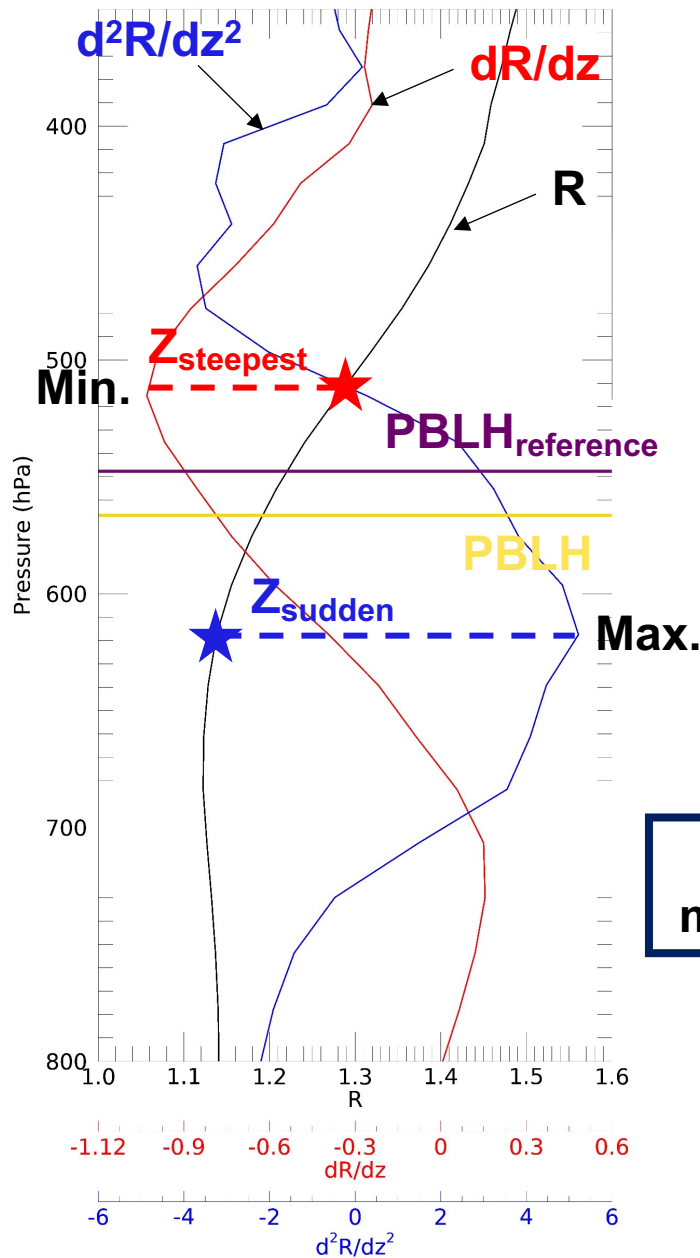
- Simultaneous estimation using the same NAST-I measurements from the SiFSAP algorithm



Histogram to determine which **R** value **appears** most frequently **at PBLH**



# How to Define PBLH



## Steps we followed:

Retrieve T and q  
using SiFSAP

Convert T and q into R

Calculate the **first** and the  
**second** derivatives of R

Identify levels of  
**steepest** and  
**sudden** change in R

Define PBLH based on  
observed variations

# Quality Control

**Case A.** The level of steepest decrease in  $R$  is **lower** (closer to the surface) than the level of sudden change in  $R \rightarrow$  Reject



Define PBLH using  $T_{pt}$  only ( $PBLH_{pt}$ ) and  $T_{dew}$  only ( $PBLH_{dew}$ ) instead of  $R$ :

**Case B.** PBLH located **near**  $PBLH_{pt}$  and  $PBLH_{dew} \rightarrow$  Higher significance ( $QC=0$ )

**Case C.** PBLH located **away from**  $PBLH_{pt}$  or  $PBLH_{dew} \rightarrow$  Lower significance ( $QC=1$  or  $2$ )



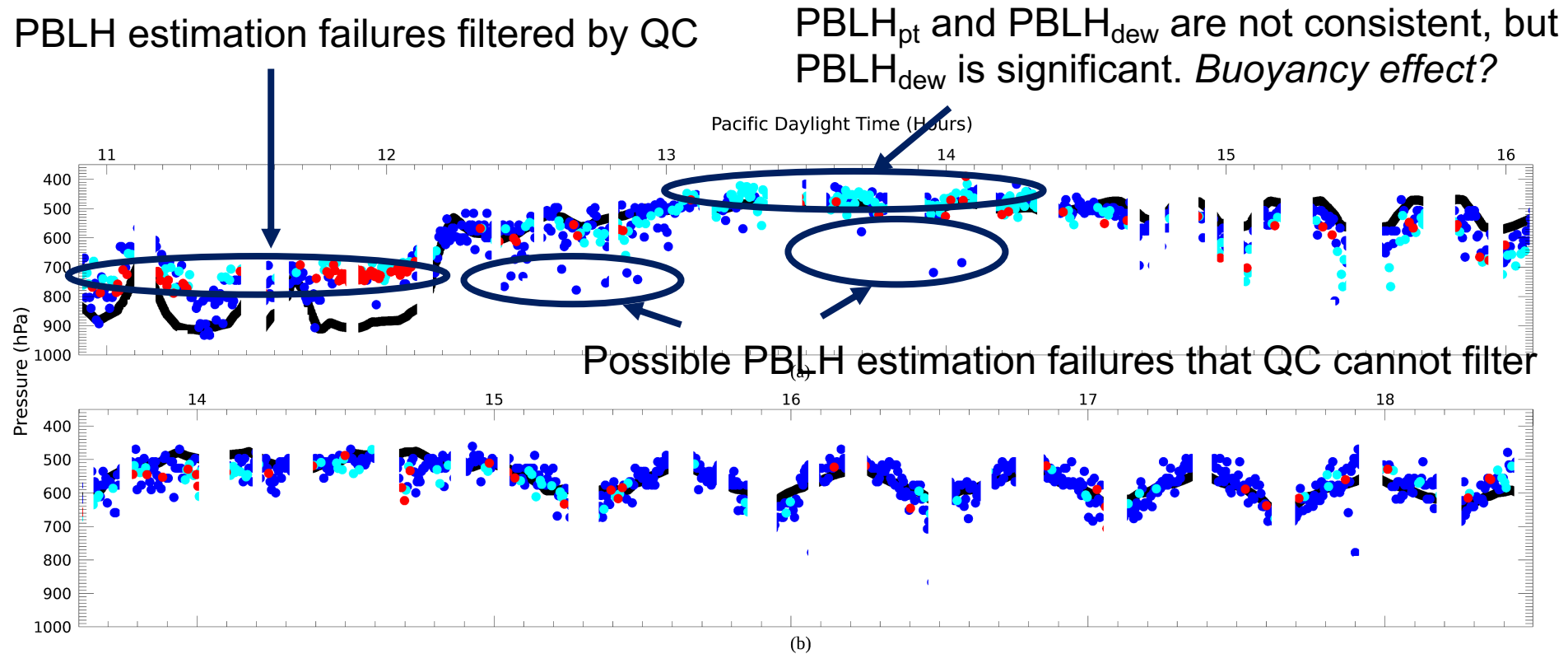
Check whether  $T_{dew}$  is significant for detecting PBLH:

**Case C-1.** Case C, but  $PBLH_{dew}$  has **high significance**  $\rightarrow$  Inconsistency between **mixing height** and **PBLH**, which can occur ( $QC=1$ )

**Case C-2.** Case C, but  $PBLH_{dew}$  has **no physical consistency** with **PBLH**  $\rightarrow$   $T/q$  does **not provide significant information** for detecting PBLH ( $QC = 2$ )

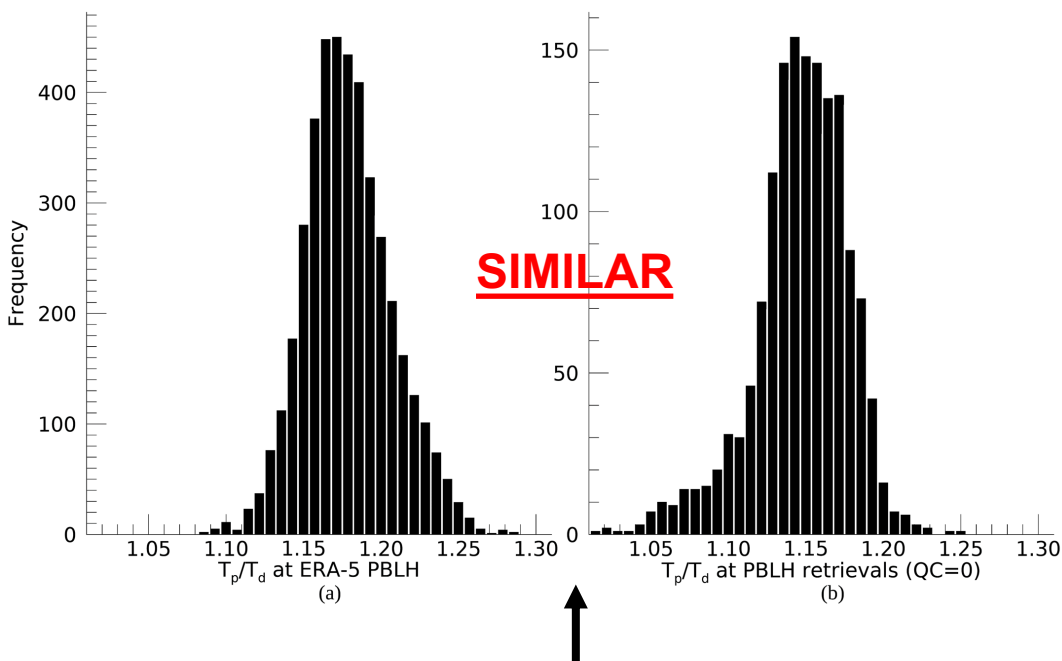


# Examples of PBLH with QC



Cross-sections of NAST-I PBLH at nadir on August 15, 2019 (top), and August 16, 2019 (bottom), with collocated ERA-5 PBLH shown in black. Colors indicate quality control status: QC = 0 (blue), QC = 1(cyan), and QC = 2 (red).

# Alternative PBLH Estimation

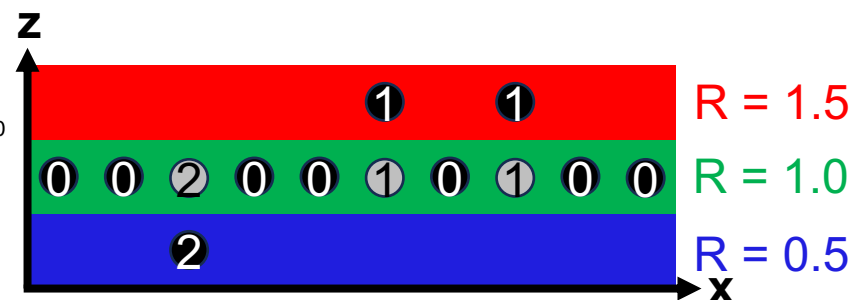


**Left:** which  $R$  is the most frequently shown at  $PBLH_{ref}$ ?

**Right:** which  $R$  is the most frequently shown at  $PBLH$  (QC = 0)?

**Step 1.** Identify the most frequent  $R$  at  $PBLH$  when  $QC = 0$

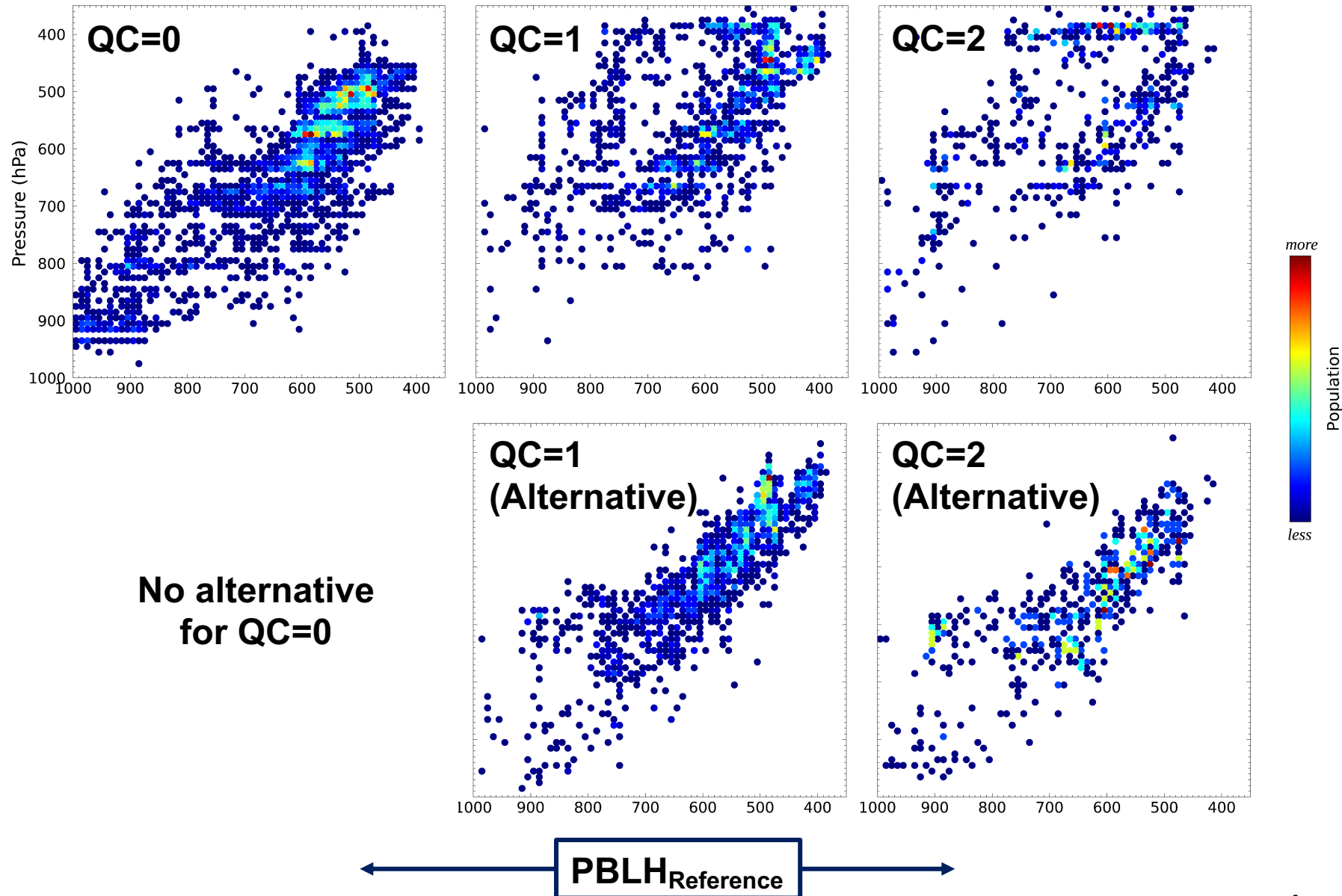
**Step 2.** Set the height where  $R$  equals the  $R$  value found in Step 1 as  $PBLH$  for  $QC = 1$  or  $2$



① ① ② PBLH with  $QC = 0, 1$ , and  $2$

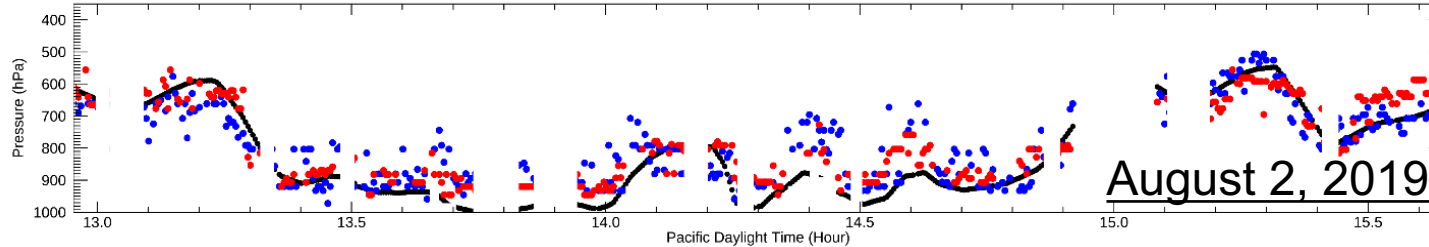
① ② Alternative PBLH estimation

# Error Statistics (All Cases, Nadir)



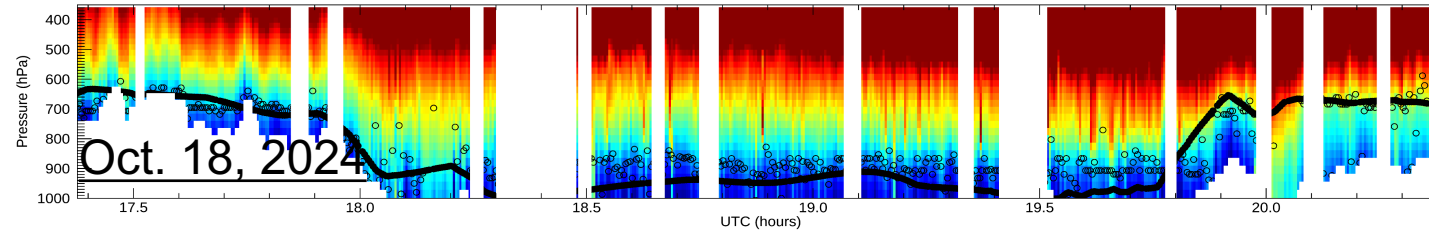
# Apply the Methodology to Another Location

## - NASA Langley's Channel-base Retrieval Algorithm



Red: Channel-base  
Blue: SiFSAP  
Black: ERA-5

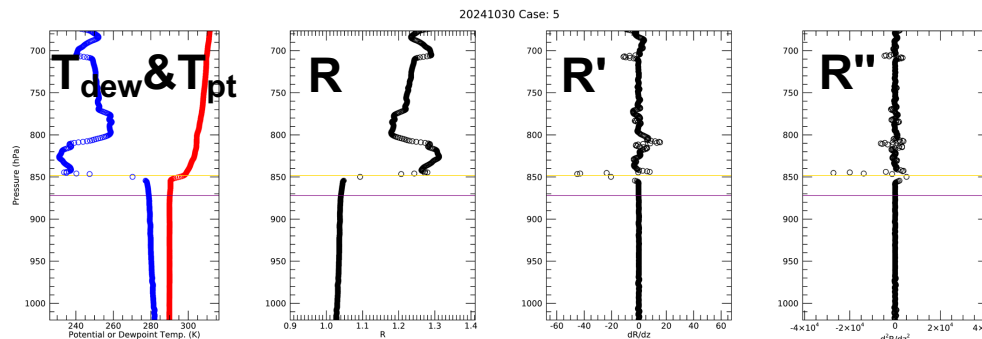
## - WHyMSIE Field Campaign (Mostly Over Ocean)



Color: R  
Blk line: ERA-5  
Blk circle: NAST-I

## - Dropsonde from WHyMSIE

Oct. 30, 2024  
5<sup>th</sup> launch



- Yellow:  
Estimated PBLH  
- Purple: ERA-5

# Summary

- A method for estimating the PBLH using atmospheric temperature and moisture profile retrievals from NAST-I measurements with SiFSAP is presented
- The ratio of  $T_p$  and  $T_d$  provides enhanced sensitivity in the vertical direction against a regime change around the PBLH
- A quality control process, which primarily ensures consistency within a vertical changes of temperature or water vapor, improves the reliability of PBLH estimation
- Positive agreement between NAST-I measurement-derived PBLH and ERA-5 reanalysis supports the significance of this estimation method, at least for the area and season of the FIREX-AQ field campaign, which has favorable conditions for active atmospheric circulation
- It is believed that efforts to develop an improved PBLH estimation method using limited available variables will contribute to a deeper understanding of the atmospheric phenomena related to the PBLH

# Error Table for Slide 11

	QC	Cor.	Bias	RMSD	#
PBLH retrievals	0	0.86	5.34	71.67	3584
	1	0.58	-55.89	107.80	1527
	2	0.60	-119.52	118.77	642
Alternative retrievals	0	0.90	8.10	62.19	3552
	1	0.86	20.74	62.81	1513
	2	0.82	14.90	80.11	641

# Triple Collocation

