



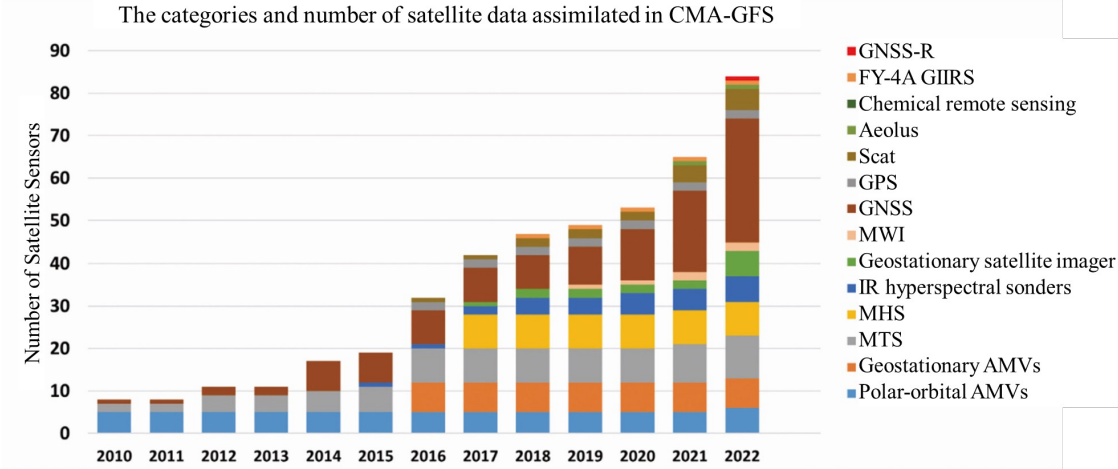
Toward the All-Surface Assimilation of Surface-Sensitive Satellite Data from Microwave Temperature- and Humidity-Sounding Channels in CMA-GFS 4D-Var System

Hongyi Xiao, Yining Shi, Wei Han

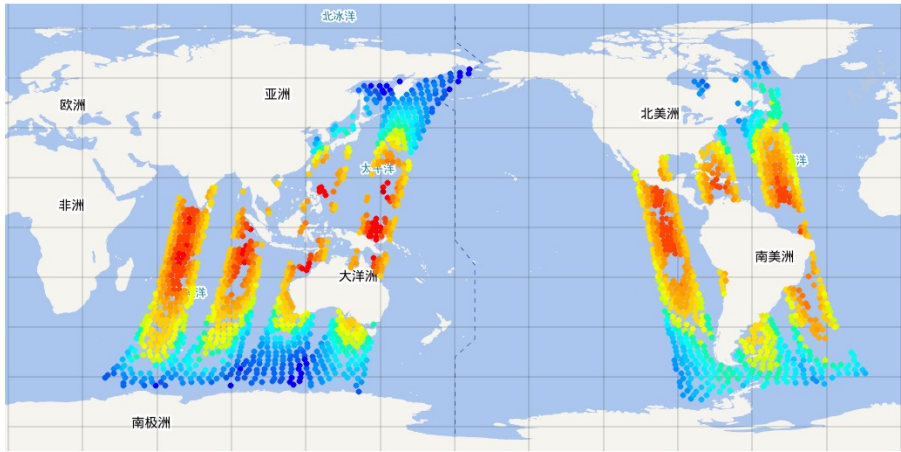
CMA Earth System Modeling and Prediction Centre (CEMC),
China Meteorological Administration

2025.5.13

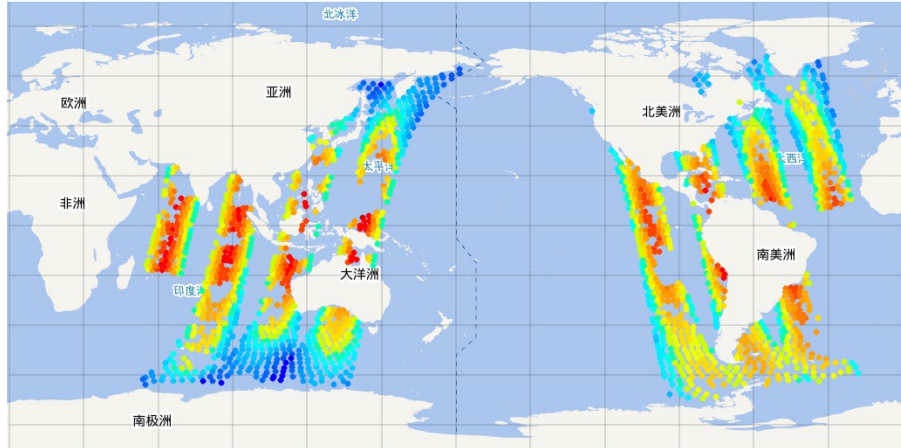
Introduction : Assimilation of Surface-Sensitive Channels in CMA_GFS over Land



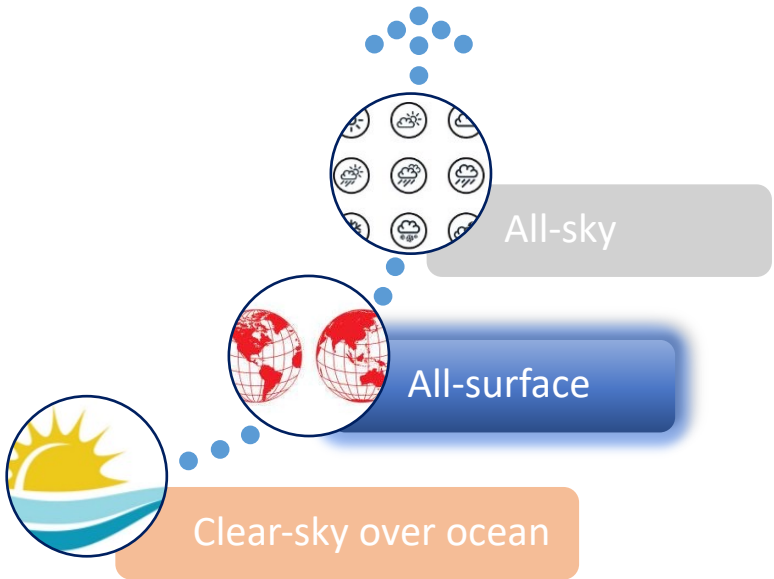
CH5 assimilation till 2021



CH6 assimilation till 2021



(pictures coming from CMA data assimilation monitoring system)

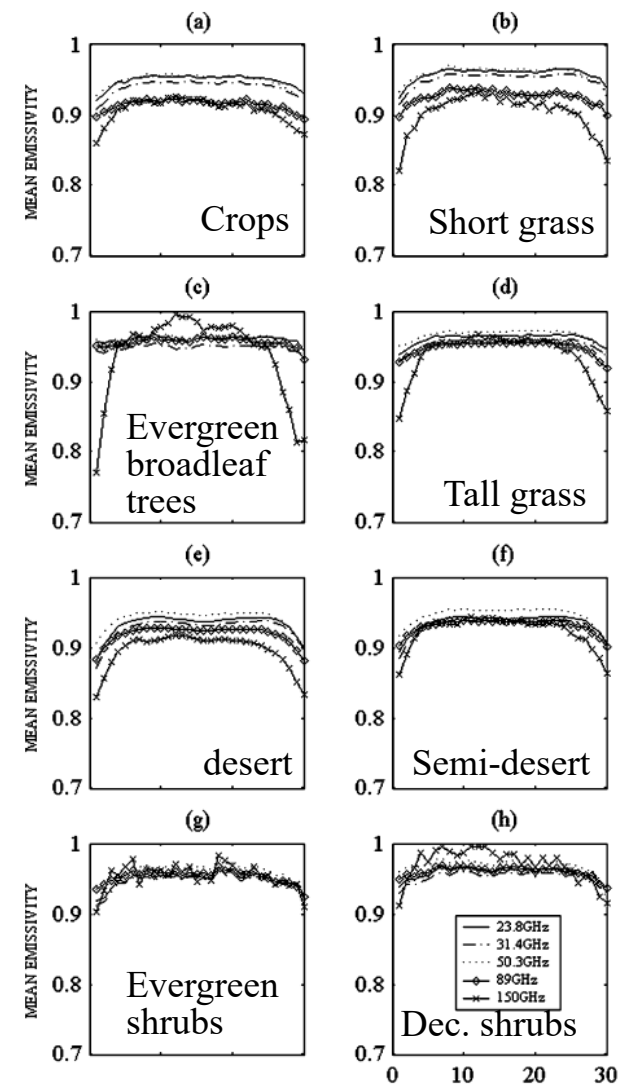


The observational data affected by land surface is **NOT ACTIVATED!**

Dynamic Emissivity Scheme (without extrapolation)

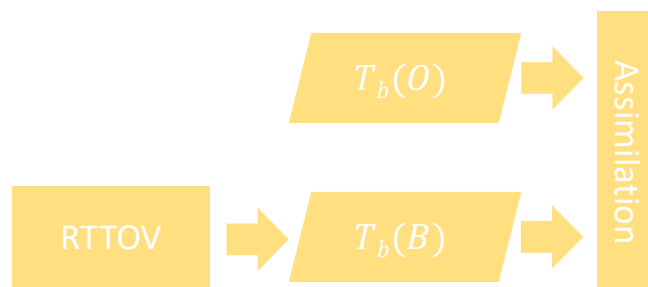


Mechanism of Dynamic Method



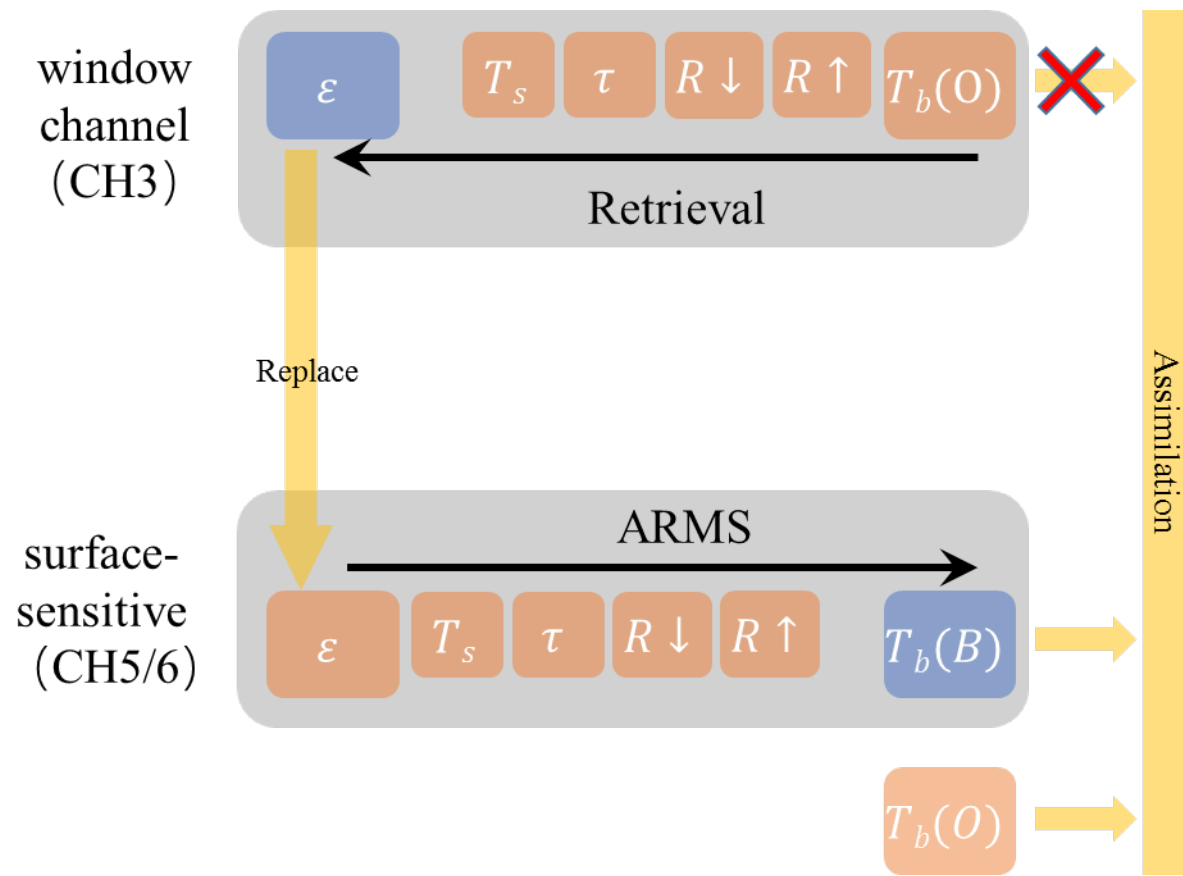
Karbou et al, 2006.

Normal Assimilation



The approximate **constancy of LSE** versus the frequency in the microwave range

Assimilation based on Dynamic Emissivity Retrieval Scheme



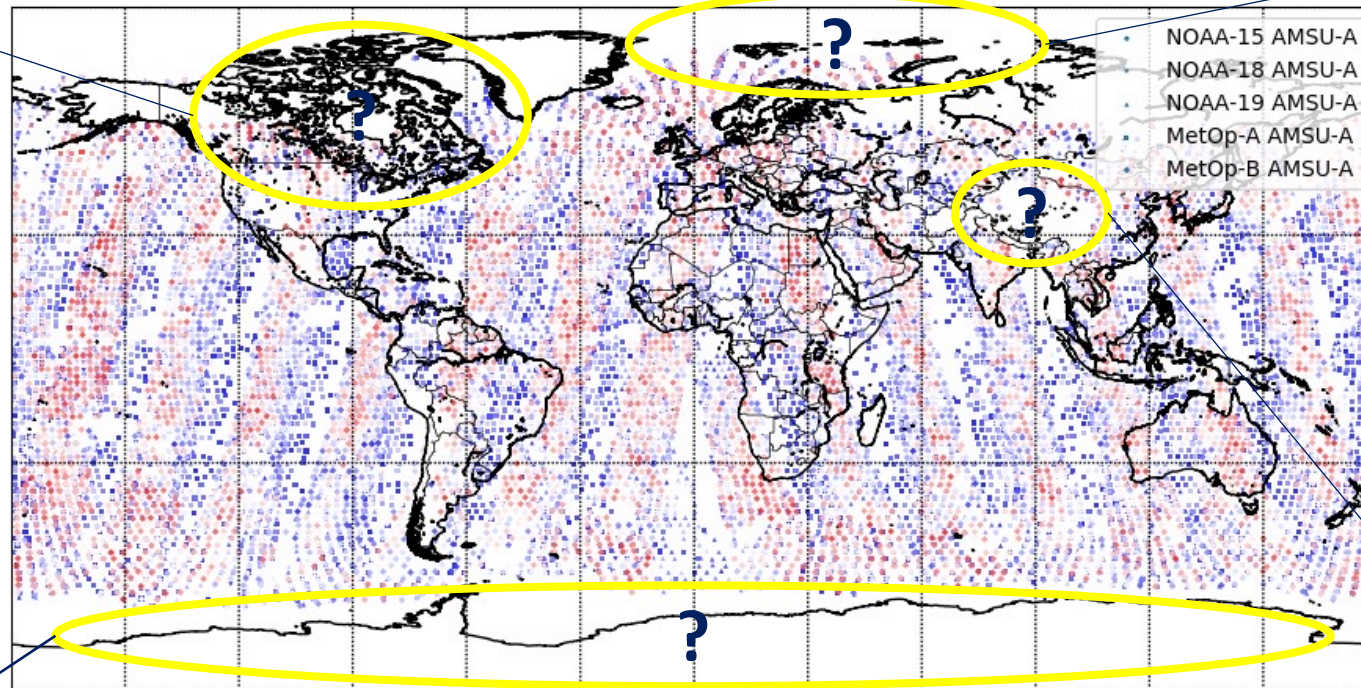
(Hongyi Xiao, et al., 2023)

The Regions with Sparse Data Assimilated in CMA-GFS



Coast

AMSU-A CH5 assimilated by CMA-GFS in 2023



Sea ice



Snow

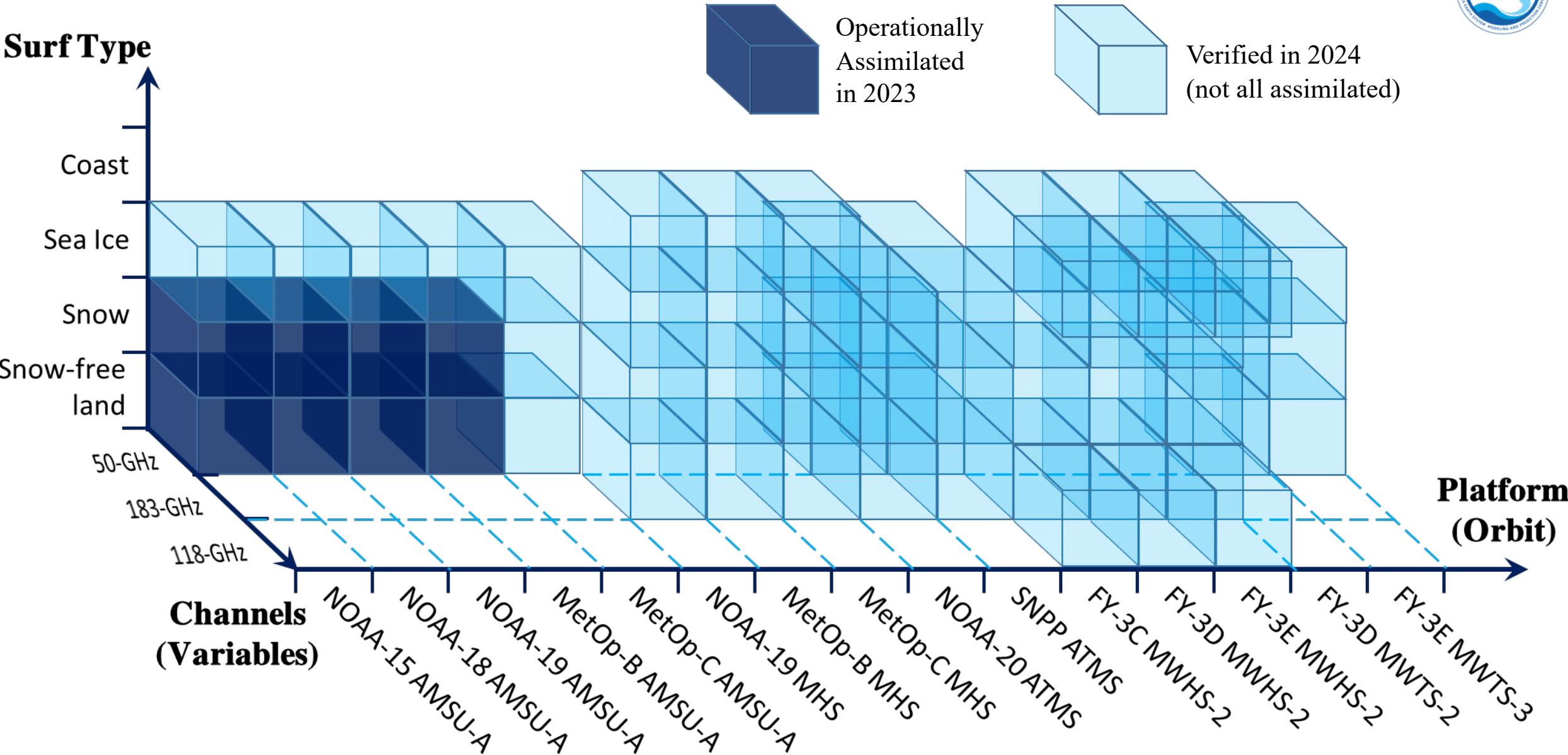


Plateau

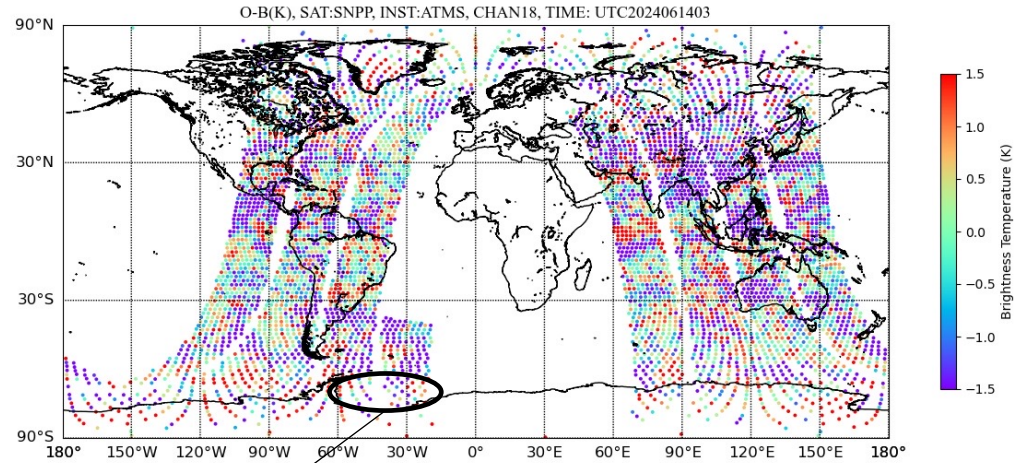
All-Surface Assimilation Framework

Platforms & Instruments	Snow-free land		Coastal Aread	Sea ice		Snow	
	Window CH	Low-layer CH	Low-layer CH	Window CH	Low-layer CH	Window CH	Low-layer CH
NOAA-15 AMSU-A	1 ^[1]	2-8 ^[1] ,5-14 ^[4]					
NOAA-18 AMSU-A							
NOAA-19 AMSU-A							
MetOp-A AMSU-A	3 ^[5,6,7,8,9,11,13]	5-14 ^[5,6,7,8,11] ,5-13 ^[9] ,5-7 ^[13]		3 ^[3,7,9,13]	4-8 ^[3] ,5-7 ^[4,7,13] ,5-13 ^[9]	3 ^[9,10,13]	5-13 ^[9] , 4-5(lam) ^[10] ,5-7 ^[13]
MetOp-B AMSU-A							
MetOp-C AMSU-A							
NOAA-18 MHS			3-4 ^[14]	1 ^[1,5,6,9]	3-5(cor) ^[3,9] ,3-4 ^[4] ,2-5(cor) ^[5]	1 ^[9]	
NOAA-19 MHS							
MetOp-A MHS	1 ^[1,5,6,7,8,9,11,13]	2-5 ^[1] ,3-4 ^[4, 8] ,3-5 ^[5,6,7,9,13]					3-4 ^[4,14] , 3-5 ^[9] ,3 ^[13]
MetOp-B MHS			4 ^[14]	2 ^[5,7,13]	1(cor),3-5 ^[5] ,3-4(cor) ^[7,13]	2 ^[12,13]	
MetOp-C MHS							
NOAA-20 ATMS	3 ^[11,12,13]	6-15 ^[4] ,6-9 ^[11] ,6-8 ^[13,14]		3 ^[11,13]	7-15 ^[4] ,6-9 ^[11] ,7-8 ^[14]	3 ^[11,13]	6-15 ^[4] ,6-9 ^[11] ,6-8 ^[13,14]
SNPP ATMS	16 ^[11,12,13]	18-22 ^[4,11,13,14]		16 ^[11]	20-22 ^[4] ,18-22(cor) ^[11]	16 ^[11]	18-22 ^[4,11]
				17 ^[12,13]	20-22(lam) ^[12,14]	17 ^[12,13]	20-22(lam) ^[12]
DMSP-F17 SSMIS	18 ^[2,13,15,16]	9-11 ^[2,13,15,16] ,10-11 ^[4]	10-11 ^[14]	8 ^[13]	10-11 ^[4,13]	8 ^[12,13]	10-11 ^[4,13]
FY-3C MWHS-2		11-12 ^[4]	11-12 ^[14]				
FY-3D MWHS-2	1 ^[14]	2-6 ^[14]	2-6 ^[14]	1	11-12 ^[4]	10 ^[12]	11-12 ^[4]
FY-3E MWHS-2							
Megha-Tropiques			1-3 ^[14]				
SAPHIR							
FY-3D MWTS-2	1	2-6		1	2-6	1	2-6
FY-3E MWTS-3	3	4-10		3	4-10	3	4-10
FY-3G MWRI-RM	9	10-16		9	10-16	9	10-16
	23	24-26		23	24-26	23	24-26
FY-3F MWTS-III							
FY-3F MWHS-II							
FY-3F MWRI-II							

Overview of All-Surface Assimilation



The Extrapolation Correction of Humidity-Sounding Channels over Sea Ice



$$\varepsilon_{150} = \varepsilon_{90}$$

$$\overline{\text{OMB}} = 0.78K$$

Challenges:

- 150-GHz CH should be used for QC.
- 90-GHz CH is far away from 183-GHz CH.

$$C = \frac{TB_{150} - TB_{90}}{TS}$$

scheme1

$$\varepsilon_{150} = \varepsilon_{90} + C$$

(Karbou et al., 2014)

scheme2

$$\varepsilon_{150} = \begin{cases} \varepsilon_{90} + C + 0.01 & \text{if } TB_{150} > TB_{90} \\ \varepsilon_{90} + C + 0.02 & \text{if } TB_{150} \leq TB_{90} \end{cases}$$

(Tomaso and Bormann, 2012)

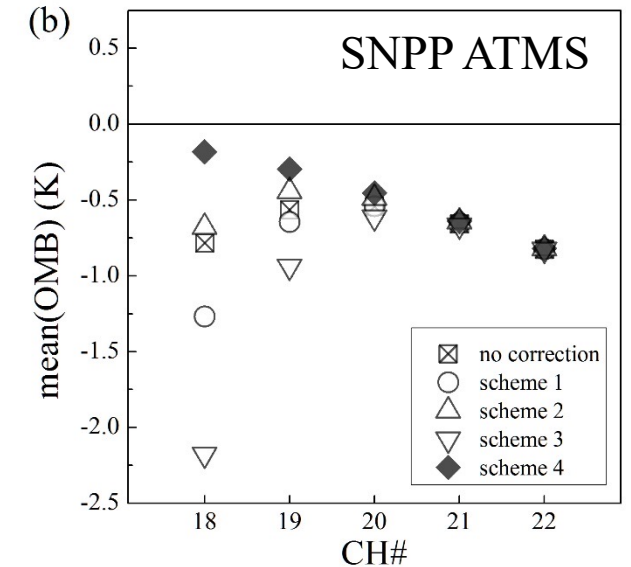
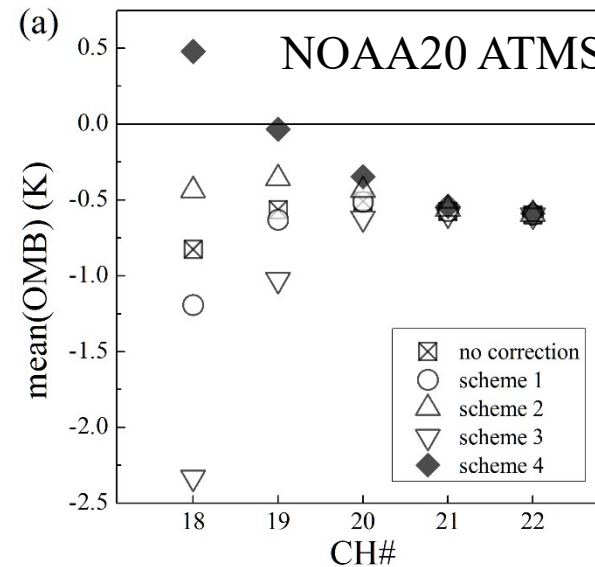
scheme3

$$\varepsilon_{150} = \varepsilon_{90} + C + e^{-4.5\varepsilon_{90}}$$

(Tomaso et al., 2013)

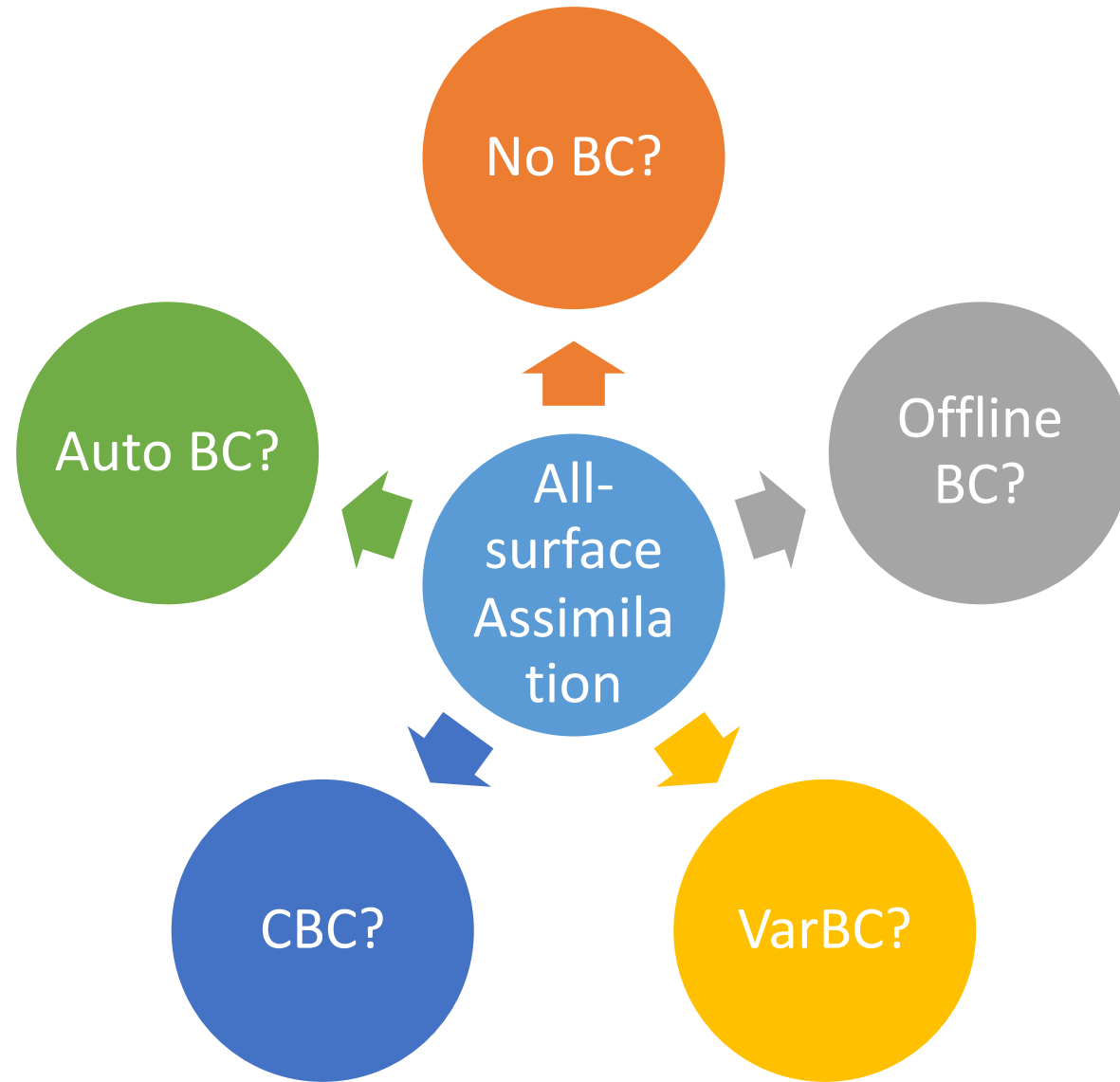
scheme4

$$\varepsilon_{150} = \varepsilon_{90} + aC + b$$



	QC over snowfree land	QC over sea ice	QC over snow	Generic QC
Identification	TS>278K over land	Land-sea mask < 0.1 ^[5] Sea-ice fraction > 0.5 ^[12] (0.01 ^[13]) TS≤271.45K ^[5,11] TS≤278K ^[13]	TS≤278K over land ^[5,12,13] Snow_depth>1mm ^[12]	
AMSU-A	H(CH4)<500m ^[6] H(CH5)<500m ^[6,8,9,10] (1500m) ^[9] H(CH6)<1500m ^[6,8,9,10] (2000m) ^[9] (only for Antarctica ^[13]) lat(CH7) ≤30 ^[6,8,10] lat(CH8) ≤30 ^[6,8,10] TB(1)-TB(15)<3.0K(for CH5~6) ^[13] No Antarctica (CH5)	lat(CH5)> - 60 ^[7] (0 ^[13])	No Antarctica (CH5) H(CH5)<500m H(CH6)<1500m (only for Antarctica) ^[13]	3<scanpos(CH5~13)<28 OMB(CH4) <0.7K ^[3,7,9,13] LSE(retrieval)-LSE(atlas) <0.2 ^[11]
MHS	H(CH2)<500m ^[6] H(CH3)<1500m ^[6,8,9,10,13] (3000m) ^[9] H(CH4)<1000m ^[6,8,9,10,13] (1500m) ^[9] H(CH5)<1000m ^[5,6,8] (800m ^[6,13] ,1500 ^[9]) lat(5) <55 ^[5,6] (60 ^[13]) TS>278 ^[5,7]		H(CH3)<1500m ^[13] H(CH4)<1000m ^[14] H(CH5)<800m	9<scanpos(CH3~5)<82 OMB(CH2) <5K ^[3,5,9,13] LSE(retrieval)-LSE(atlas) <0.2 ^[11]
ATMS	TB(1)-TB(16)<3.0K(for CH6~7) ^[11,13] H(CH6)<500m ^[13,14] (1000m in tropics ^[13]) H(CH7)<1500m ^[13,14] (2000m in tropics ^[13]) H(CH18~19)<800m ^[13,14] H(CH20~21)<1000m ^[13,14] H(CH22)<1500m ^[13,14] lat(CH18~19) <60 ^[14]	OMB(CH18)<2.5K ^[12]	OMB(CH18)<2.5K ^[12] H(CH6)<500m ^[13,14] (1000m in tropics ^[13]) H(CH7)<1500m ^[13,14] (2000m in tropics ^[13]) H(CH18~19)<800m ^[14] H(CH20~21)<1000m ^[14] H(CH22)<1500m ^[14] lat(CH18~19) <60 ^[14]	OMB(CH5)<0.7K(for CH6~8) ^[11] OMB(CH17)<5K(for CH16~22) ^[11] LSE(retrieval)-LSE(atlas) <0.2 ^[11]
SSMIS	H(CH9)<800m ^[13] H(CH10)<1000m ^[13] H(CH11)<1500m ^[13] lat(9) <60 ^[13]		H(CH10)<1000m ^[13] H(CH11)<1500m ^[13]	
MWHS-2	H(CH5)<1500m ^[14] H(CH6)<500m ^[14] H(CH11)<1500m H(CH12)<1000m		H(CH5)<1500m ^[14] H(CH6)<500m ^[14] H(CH11)<1500m H(CH12)<1000m	OMB(CH10) <5K OMB(CH15) <2.5K OMB(CH11) <2.5K(for CH11) OMB(CH12) <2.5K(for CH12) OMB(10)-OMB(1)>20K
MWTS-2	H(CH2~4)<500m H(CH5)<1500m Cloud percentage<0.76 or OMB(CH1) <2K		H(CH2~4)<500m H(CH5)<1500m	OMB(CH3) <0.7K(for CH2~6)
MWTS-3	H(CH3~8)<500m H(CH9)<1500m OMB(CH3) <2K		H(CH3~8)<500m H(CH9)<1500m	OMB(CH5) <0.7K(for CH3~10)

Consideration about Bias Correction



Channel Selection and Experimental Setup

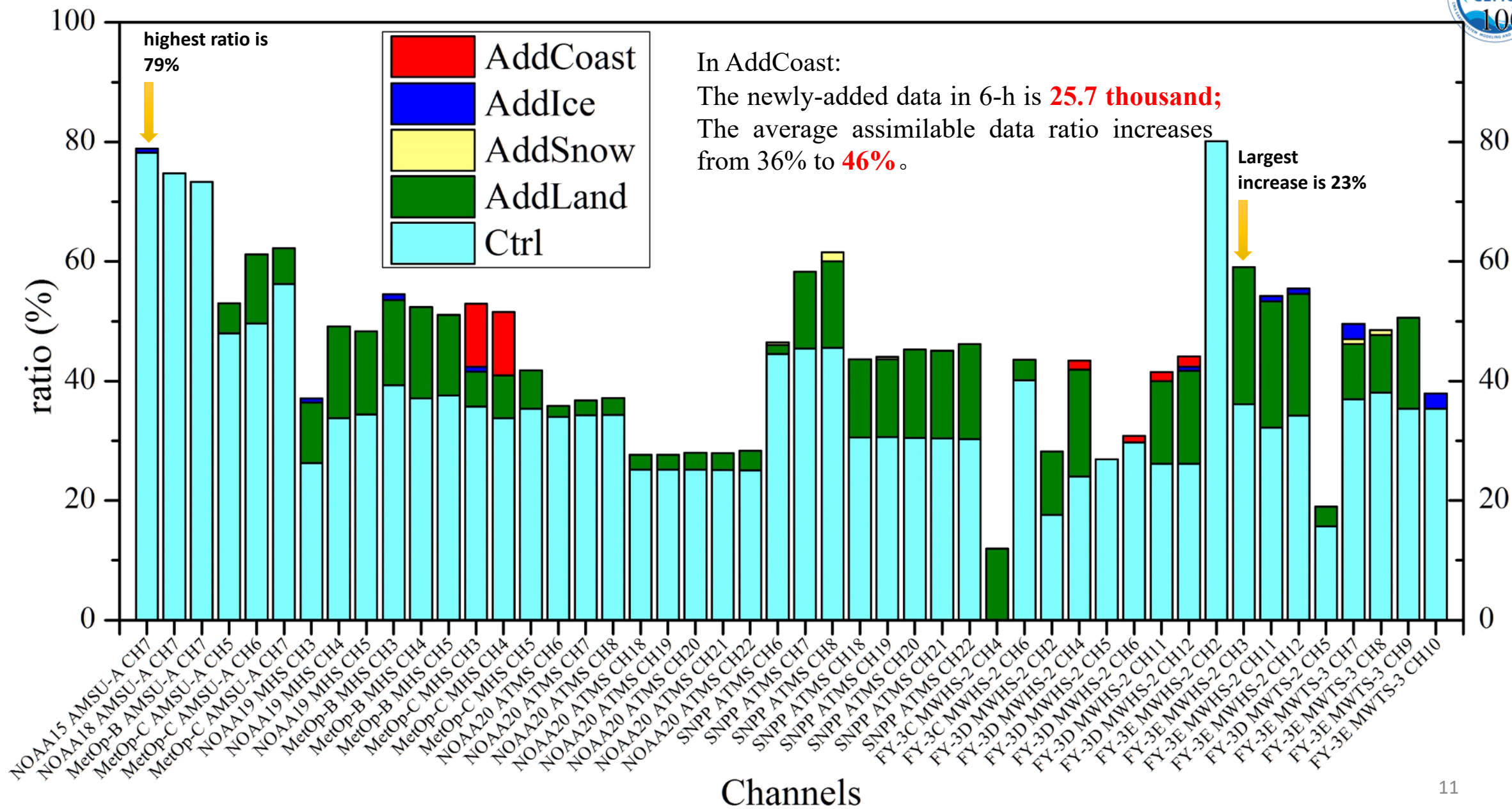
Channel selection criterion is the OMB after BC:

- ① has mean value $<0.1K$; or
- ② has performance not worse than the oceanic case.



	CTRL	AddLand (CTRL+snowfree land)	AddSnow (AddLand+snow)	AddIce (AddSnow+sea ice)	AddCoast (AddIce+coast)
NOAA15 AMSU-A	5 (land), 7 (land, ice)	7	7	7	
NOAA18 AMSU-A	5–7 (land), 7 (ice)	7	7	7	
NOAA19 AMSU-A	5–6 (land)				
MetOp-B AMSU-A	5 (land), 7 (land, ice)	7			
MetOp-C AMSU-A	7 (land, ice)	5,6,7			
NOAA19 MHS	3 (land)	3,4,5	3	3	
MetOp-B MHS	3 (land)	3,4,5	3	3	
MetOp-C MHS	3 (land)	3,4,5		3	3,4
NOAA-20 ATMS	9(land),6–9&18–22 (ice)	6,7,8,18,19,20,21,22			
SNPP ATMS	9(land),6–9&18–22 (ice)	7,8,18,19,20,21,22	6,8,19		
FY-3C MWHS-2	11 (land)	4,6			
FY-3D MWHS-2		2,4,5,6,11,12	12	12	4,5,6,11,12
FY-3E MWHS-2	2–4 (land), 2 (ice)	2,3,11,12	11,12	11,12	
FY-3D MWTS-2		5			
FY-3E MWTS-3		7,8,9	7,8,10	7,10	

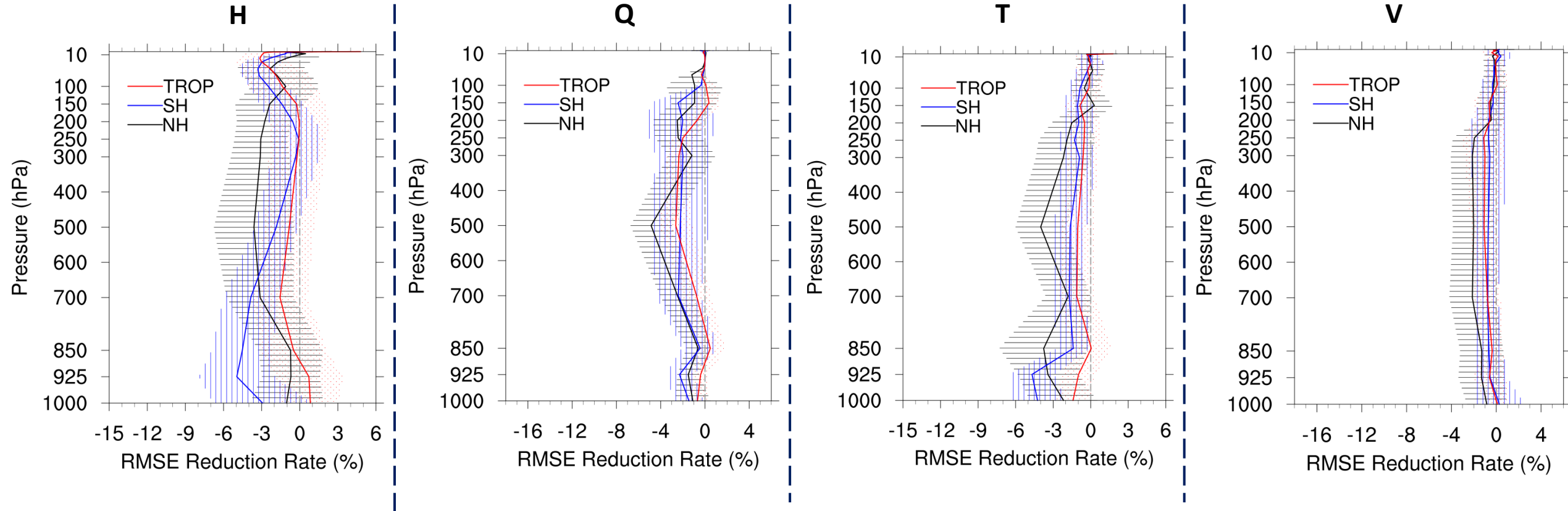
Ratio of “Assimilable” Data to Total Data



Analysis



AddCoast (assimilating all the newly-added data)



- ① Analysis of each variables at the whole atmospheric layer are improved in global.
- ② The results between different experiments is similar; the more the assimilated data, the better the results.
- ③ The positive impacts in NH are concentrated in middle-layer troposphere, while those in SH and TROP are concentrated in upper layer and lower layer.
- ④ The improvement in the NH is largest, then the SH, then the TROP.

AddLand



Domain	Parameter	Level	Anomaly Correlation								RMS Error							
NH	HGT	850	▲	▲	▲	▲					▲	▲	▲	▲				
		500									▲	▲	▲	▲				
		250	▲								▲	▲	▲	▲				
	TEMP	850	▲	▲	▲					▲	▲	▲	▲					▲
		500	▲	▲	▲						▲	▲	▲	▲				
		250	▲	▲	▲						▲	▲	▲	▲				
	UWND	850	▲	▲	▲	▲	▲				▲	▲	▲	▲				
		500	▲	▲	▲	▲	▲				▲	▲	▲	▲				
		250	▲	▲	▲	▲	▲				▲	▲	▲	▲				
	VWND	850	▲	▲	▲	▲					▲	▲	▲	▲				
		500	▲	▲	▲	▲					▲	▲	▲	▲	▲			
		250	▲	▲	▲	▲					▲	▲	▲	▲	▲			
SH	HGT	850		■	■						▼							
		500														▲		
		250																
	TEMP	850					▲	▲			▲		■					
		500									■		■			▲	■	■
		250	▲		■						▲							
	UWND	850		■								■						
		500		■								■						
		250		■														
	VWND	850																
		500										■						
		250	▲	■							▲							
EASI	HGT	850									▲	■		▲			▲	▲
		500	▲															
		250	▲															
	TEMP	850	▼	▼	▼		■	■			▲	▲	▲	▲		▼		
		500	▲	▲	▲						▲	▲	▲	▲				
		250	▲	▲	▲						▲	▲	▲	▲				
	UWND	850					▲	■			▲			▲	▲		▲	▲
		500	▲		▲	▲					▲		▲	▲	▲	▲	▲	▲
		250							▼				▲	▲	▲	▲	▼	▲
	VWND	850					■	■			▲							
		500																
		250	▲			▲	▲				▲						▲	▲

▲ : Far better ▲ : Better ■ : Better but not significant ■ : Equality
▼ : Far worse ▼ : Worse ■ : Worse but not significant

AddSnow

[illegible]

▲ : Far better ▲ : Better ■ : Better but not significant ■ : Equality
▼ : Far worse ▼ : Worse ■ : Worse but not significant

AddIce



Domain	Parameter	Level	Anomaly Correlation					RMS Error									
NH	HGT	850	▲	▲	▲	▲		▲	▲	▲	▲						
		500	▲	▲	▲	▲		▲	▲	▲	▲						
		250	▲	▲	▲	▲		▲	▲	▲	▲						
	TEMP	850	▲	▲	▲	▲		▲	▲	▲	▲						
		500	▲	▲	▲	▲		▲	▲	▲	▲						
		250	▲	▲	▲	▲		▲	▲	▲	▲						
	UWND	850	▲	▲	▲	▲		▲	▲	▲	▲						
		500	▲	▲	▲	▲		▲	▲	▲	▲						
		250	▲	▲	▲	▲		▲	▲	▲	▲						
	VWND	850	▲	▲	▲	▲		▲	▲	▲	▲						
		500	▲	▲	▲	▲		▲	▲	▲	▲						
		250	▲	▲	▲	▲		▲	▲	▲	▲						
SH	HGT	850	▲					▲	■								
		500															
		250															
	TEMP	850	▲				▲		▲		■			▲			
		500					▲										
		250	▲		■		▲		▲			▲					
	UWND	850															
		500															
		250	▲			■			▲			■					
	VWND	850						▲	▲						▲		
		500	▲				▲	▲									
		250	▲				▲	▲							▲		
EASI	HGT	850	▲	▲	▲	▲		■		▲	▲	▲	▲				
		500	▲	▲	▲	▲		■		▲	▲	▲	▲				
		250	▲	▲	▲	▲		■		▲	▲	▲	▲				
	TEMP	850	▼	■		▲			▲	▲	▲	▲	▲		■		▲
		500	▲	▲	▲	▲		■		▲	▲	▲	▲				
		250	▲	▲	▲	▲		■		▲	▲	▲	▲				
	UWND	850		▲	▲	▲	▲		■		▲	▲	▲		▼		
		500		▲	▲	▲	▲		■		▲	▲	▲				
		250		▲	▲	▲	▲		■		▲	▲	▲				
	VWND	850	▲		▲	▲	▲		■		▲	▲	▲				
		500															
		250	▲		▲	▲	▲		■		▲	▲	▲				
TRO	HGT	850		▼		▲	▲			■		▲		</			

▲ : Far better ▲ : Better ■ : Better but not significant ■ : Equality
▼ : Far worse ▼ : Worse ■ : Worse but not significant

AddCoast

[illegible]

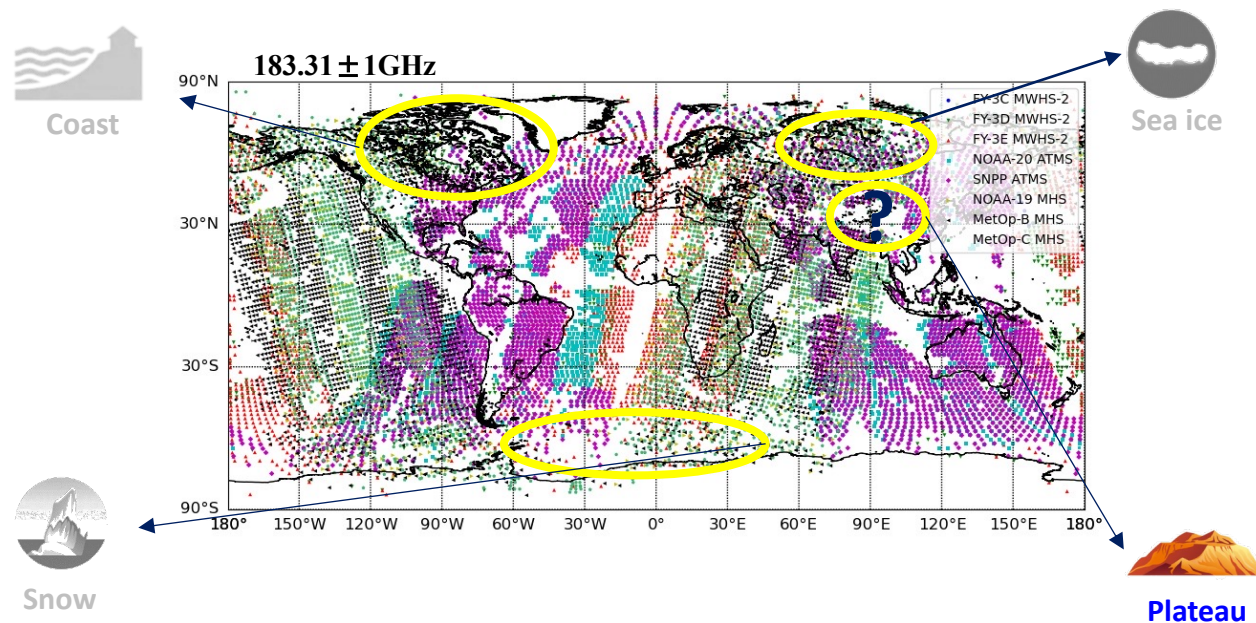
▲ : Far better ▲ : Better ■ : Better but not significant ■ : Equality
▼ : Far worse ▼ : Worse ■ : Worse but not significant

- 13

Future work



① About the surface type



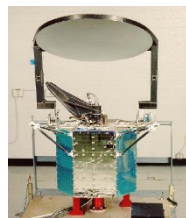
② About the instruments



FY3F MWTS-III



FY3F MWHS-III



DMSP-F17/18
SSMS



GPM GMI

③ About the observational error model



THANKS !

