

# Operational use of inter-channel correlations for IASI in the DWD EnVar and investigation into the use of Reconstructed Radiances

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## I) Introduction:

Hyperspectral infrared sounders like IASI or CrIS provide a very large number of channels which are spectrally very dense. This poster focuses on two challenges associated with this: First, observation errors display non-negligible error correlations between the channels that need to be taken into account in the assimilation system. The impact of using a full error covariance matrix R in the operational global ensemble variational data assimilation system (EnVAR) for the global ICON model system of DWD has been tested for IASI radiances. Secondly, in view of the future MTG-IRS for which data transmission is based on principal component compressed data, the use of reconstructed radiances for IASI assimilation is being tested.

## II) Motivation:

Up to now, IASI radiances have been assimilated at DWD using a diagonal R matrix, diagnosed with Desroziers et al. (2005) method, but inflating diagonal elements to account for the neglected inter-channel correlations. A non-diagonal R matrix has been estimated using the Desroziers method and used in assimilation experiments ensuring it is invertible through setting a lower threshold on the size of eigenvalues.

Using the non-diagonal R matrix leads to positive impact on NWP forecasts, particularly for humidity fields which leads to much improved fit to other satellite observations sensitive to humidity.

In parallel, assimilation tests using reconstructed radiances, in an initial setup treating them similarly to raw radiances, have been run. As with raw radiances, a full R matrix has been diagnosed. As expected, much larger inter-channel correlations occur. Results of the assimilation of reconstructed radiances in comparison to raw radiances will be shown. An extension to the assimilation of CrIS FSR data using full R is ongoing.

## III) Principal Component Analysis

Taking only leading PCs which represent directions of largest variability

- Compressed representation of IASI spectrum
- Noise reduction

$$p = E^T * N^{-1} * (x - \bar{x}) \in \mathbb{R}^s$$

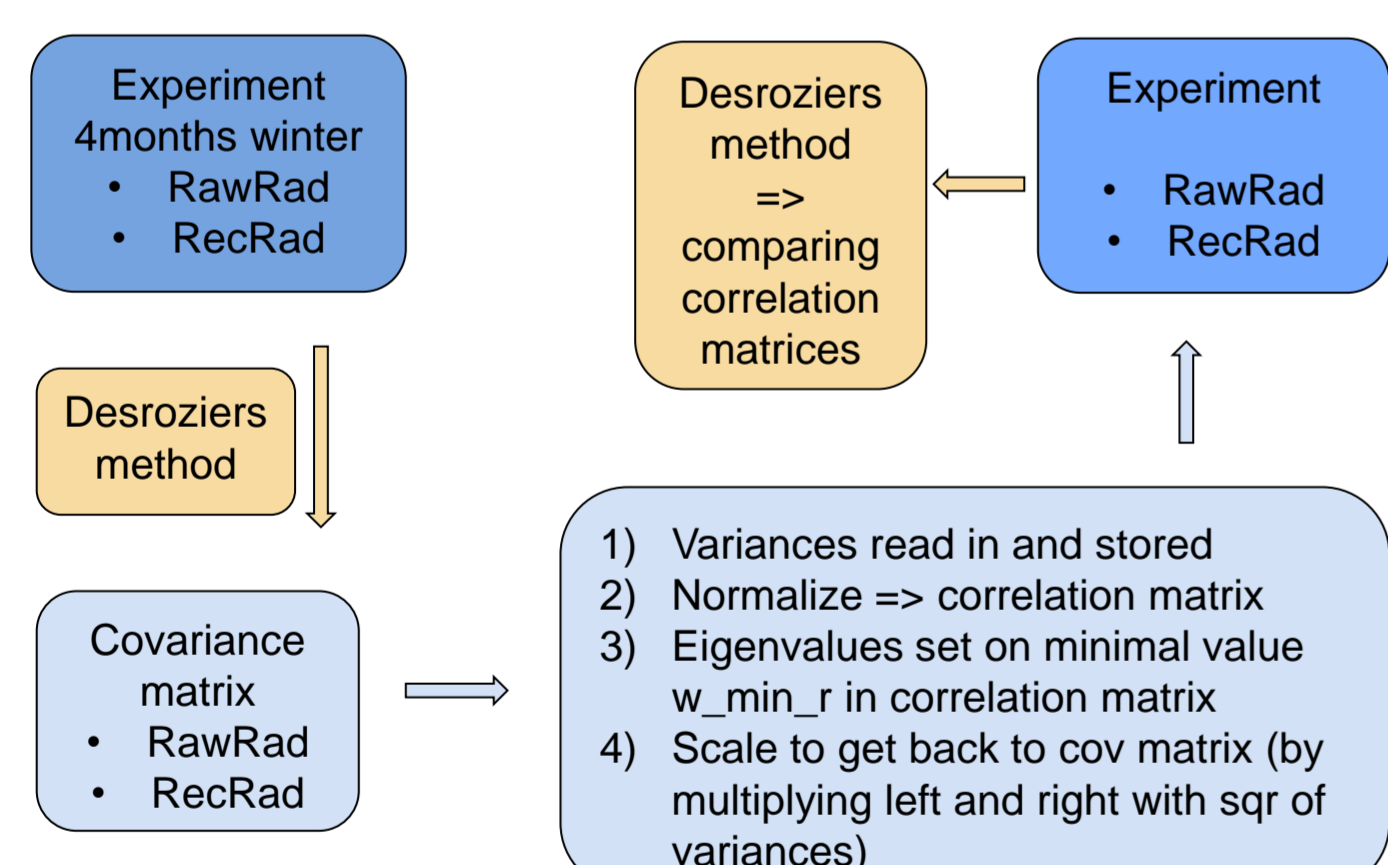
IASI L1C spectra:  $x \in \mathbb{R}^{m \times n}$   
 pc scores:  $p \in \mathbb{R}^s$   
 mean of noise-normalised data set:  $N^{-1} * \bar{x} \in \mathbb{R}^m$   
 covariance of noise-normalised data set:  $C \in \mathbb{R}^{m \times m}$   
 $s$  most significant eigenvectors of  $C$ :  $E \in \mathbb{R}^{m \times s}$   
 number of channels:  $m$   
 number of spectra in data set:  $n$

## Reconstructed Radiances (RecRad)

Transformation back into radiance space at a technical level RecRad treated like RawRad

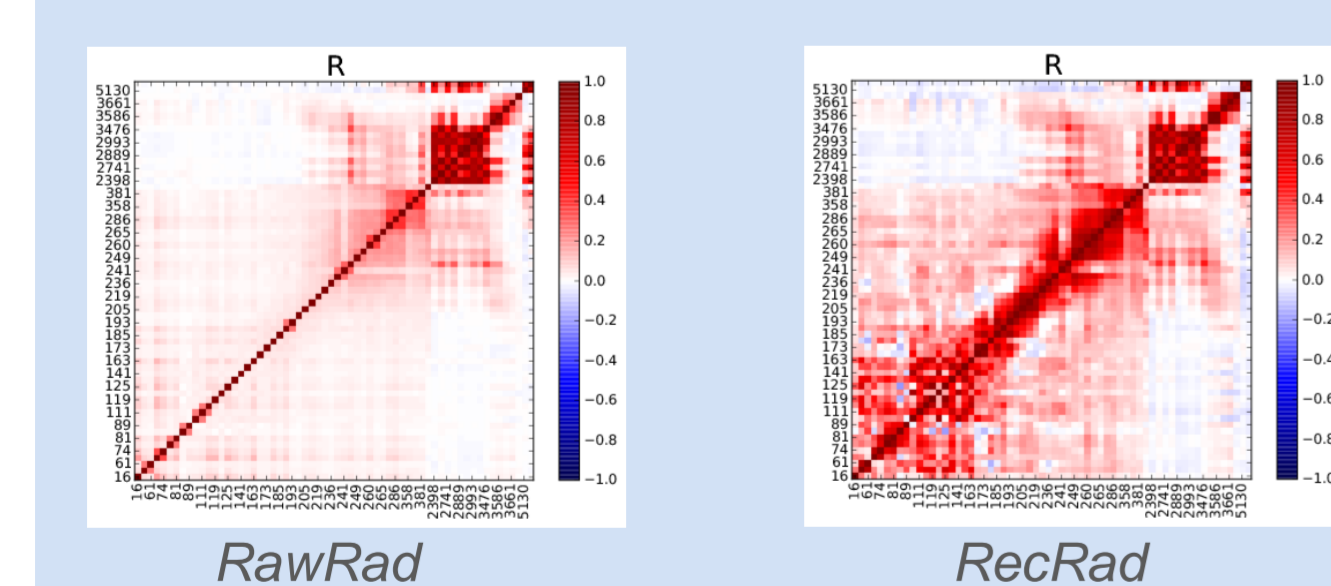
$$\tilde{x} = N * E * p + \bar{x} \in \mathbb{R}^m$$

## II) Covariance matrix



## III) Estimation for full R matrix

Based on run with non-diagonal R IASI



## VIII) References:

Andrey-Andrés, J. 2017: Impact of Principal Components compression on the assimilation of Hyperspectral infrared data. EUMETSAT Fellowship Report

Desroziers, G. et al., 2005: Diagnosis of observation, background and analysis-error statistics in observation space. Q. J. R. Meteorol. Soc. 131, 3385–3396.

Matricardi, M. and McNally, A. P. 2014: The direct assimilation of principal components of IASI spectra in the ECMWF 4D-Var. Q. J. R. Meteorol. Soc., 140: 573–582. doi:10.1002/qj.2156.

Matricardi, M. 2015: The direct assimilation of principal components of IASI spectra in the ECMWF 4D-Var and the training of PC\_RTTOV over land surfaces. EUMETSAT Contract No. EUM/CO/07/4600010111/PS.

McNally, A. P. and Watts, P., 2003: A cloud detection algorithm for high-spectral-resolution infrared sounders. Q. J. R. Meteorol. Soc., 129, 3411–3423. CV

## IV) Comparison RawRad vs RecRad

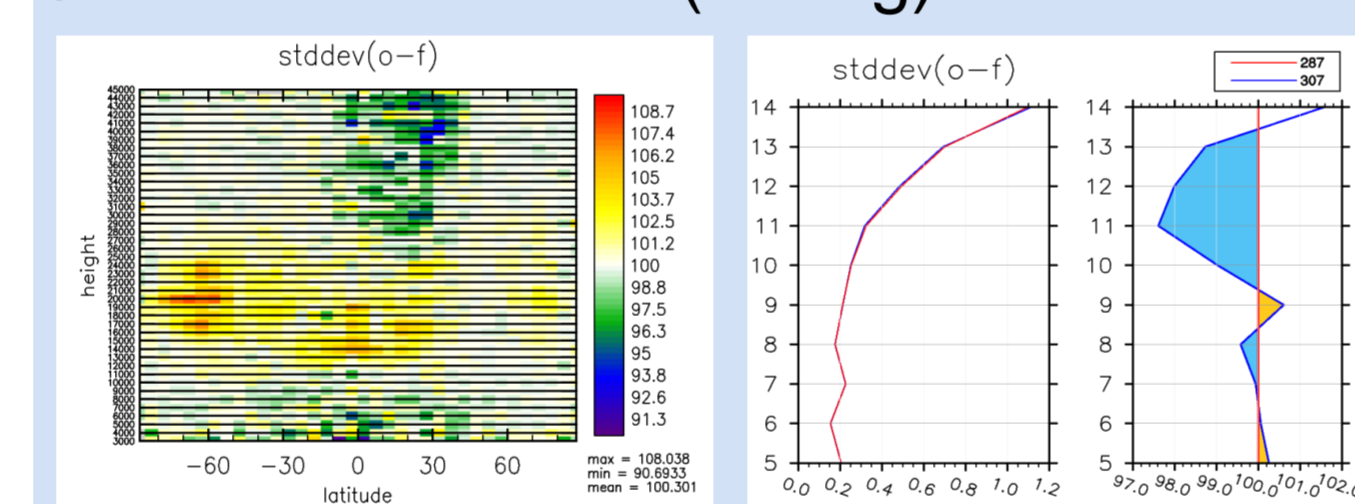
ICON EnVar @40km, online bias corr.

- Exp a) RTTOV10 46ch diagR 1 months in summer
- Exp b) RTTOV12 62ch (16WV incl.) diagR 3 months in winter
- Convergence improved, IASI obs 4K
- Exp c) RTTOV12 62ch fullR1.0 With ATMS humidity

## Covariance matrix IASI see III)

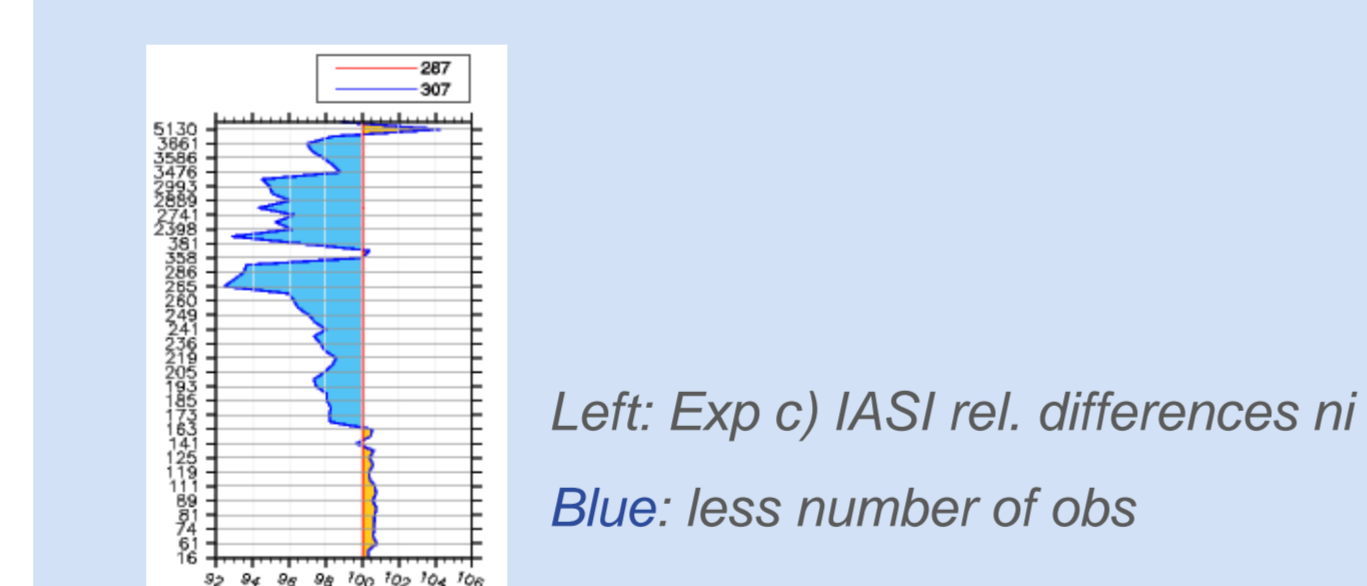
## NWP trial departure statistics

Standard deviation (obs-fg)



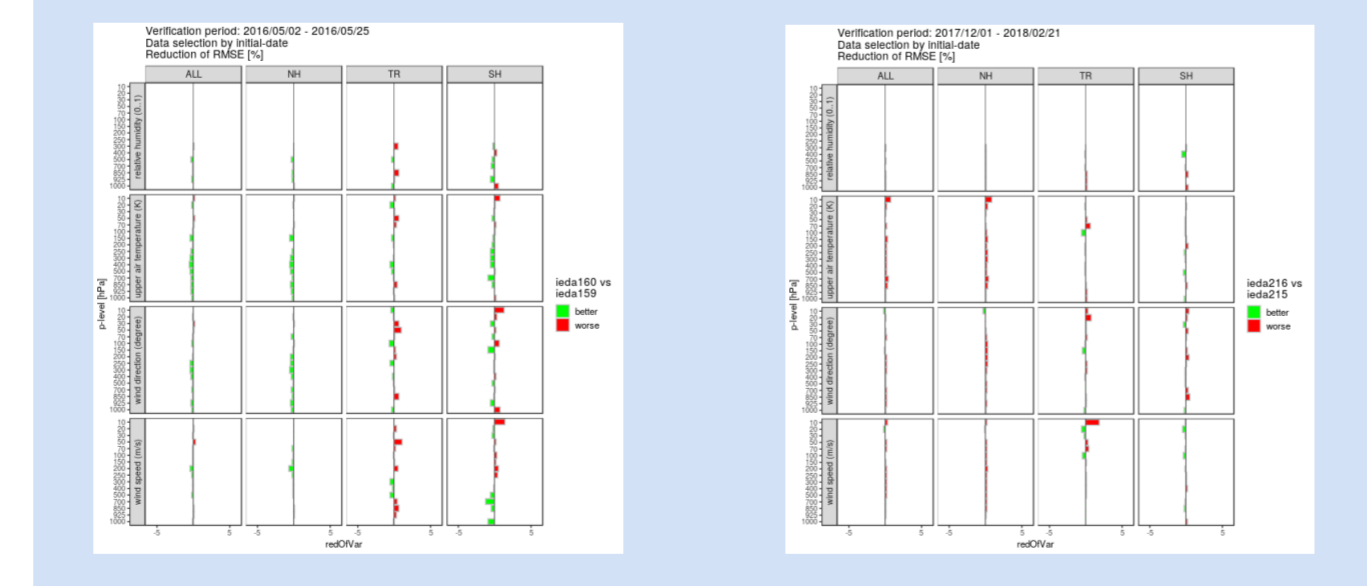
Left: Exp c) Radio-occultation relative differences  
 Stddev(o-fg) zmean increased for 20'km height in south polar region

Right: Exp c) Microwave rel. differences stddev(o-fg) decreased for channel 11-13



Left: Exp c) IASI rel. differences ni  
 Blue: less number of obs

Reduction of number of IASI obs due to cloud detection



Evaluation against observations upper air rmse  
 Both with diagonal R IASI  
 Left: Exp a) 1 month in summer, RTTOV10  
 Right: Exp b) 3 months in winter, RTTOV12, 16 channels more

Ongoing: for RecRad new reference necessary for actual comparison with all new changes  
 e.g. IASI full R, modified MNW cloud detection scheme, Metop-C, ATMS hum.

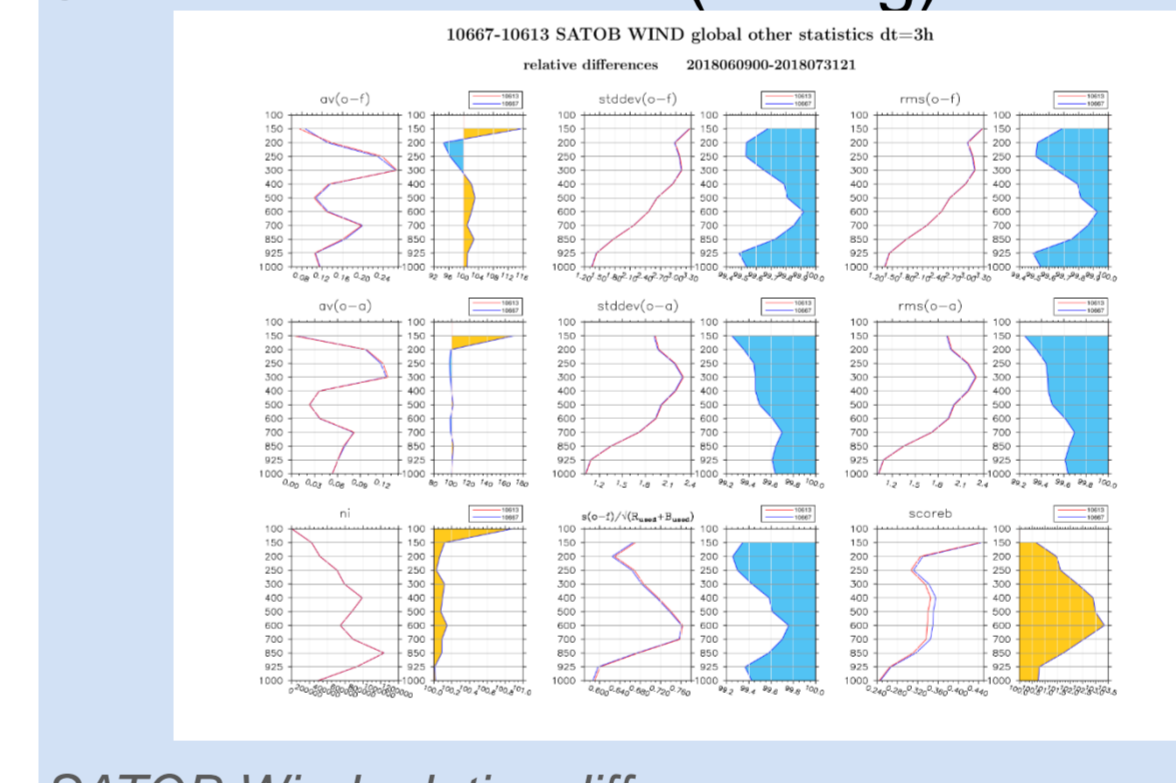
## V) IASI using full (non-diagonal) R

including inter-channel correlations in global ensemble DA system operational since 27th february 2019

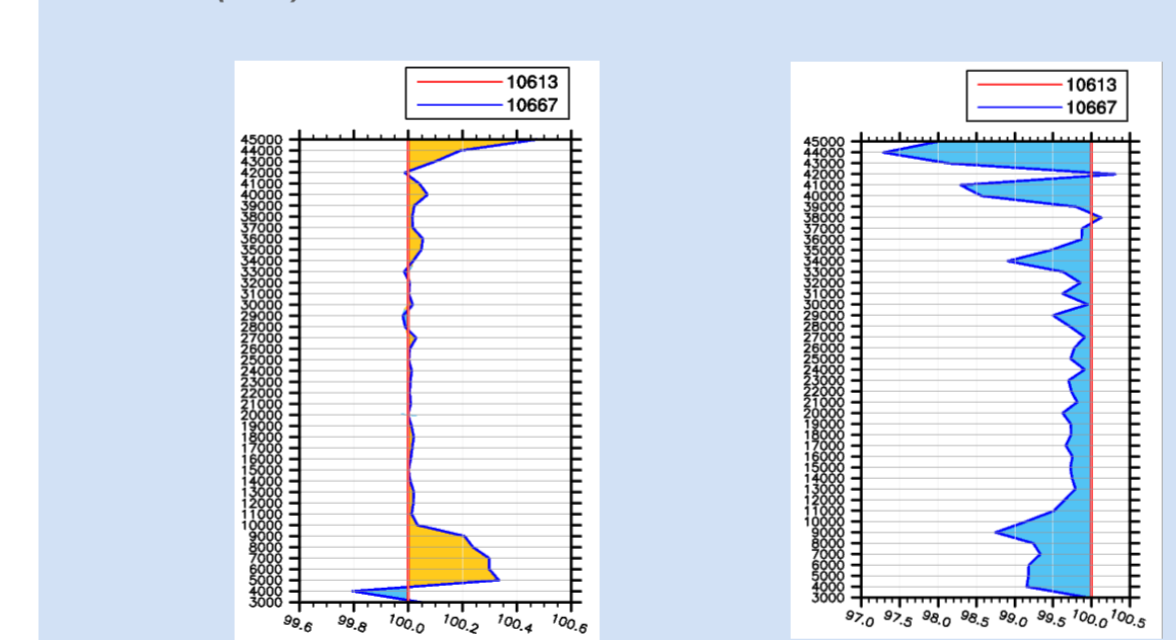
- ICON EnVar + LETKF @26/52km
- 40 ensemble members
- Online bias correction
- 62 assimilated IASI channels out of 354
- scaling factor for R 1.0 of error std dev RTTOV12
- 3 months in summer 9.6. -3.9.2018

## NWP trial departure statistics

Standard deviation (obs-fg)

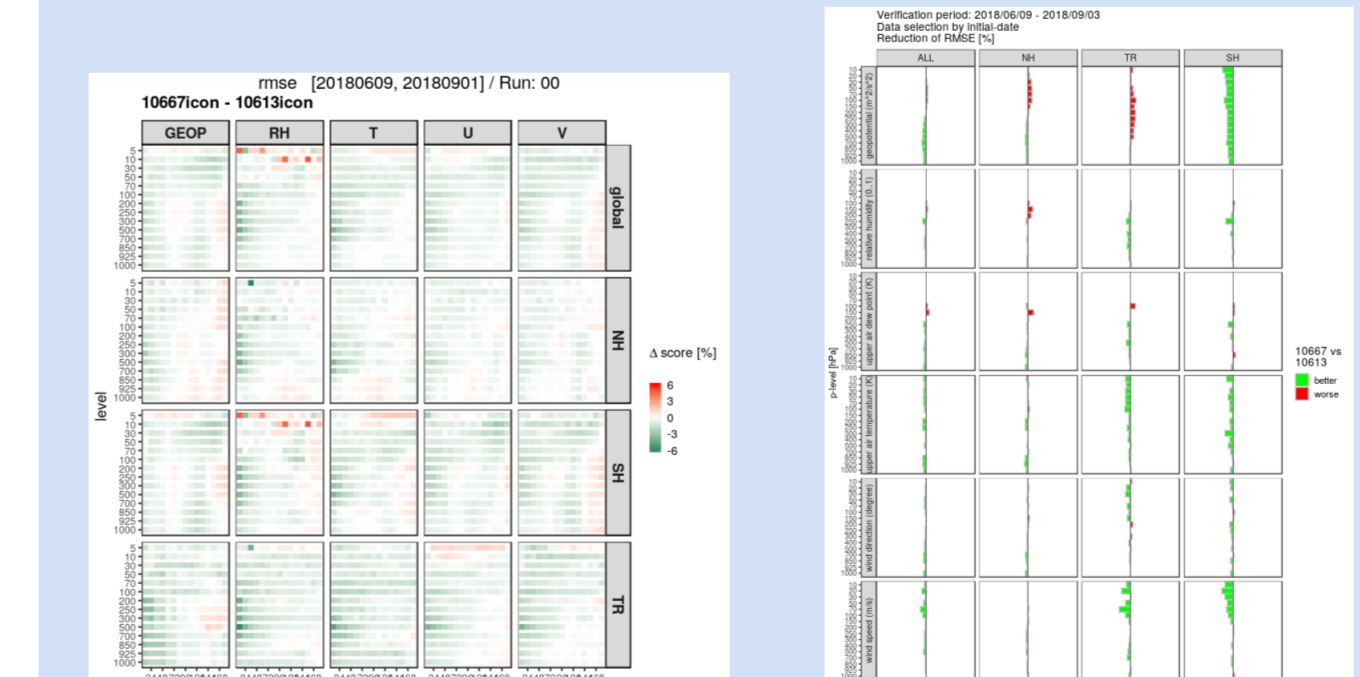


SATOB Wind relative differences  
 Stddev(o-f) reduced while more obs used



Radio-occultation relative differences  
 Stddev(o-f) reduced while more obs used

## Forecast verification



Evaluation Rmse 3 months in summer  
 Left: against analysis right: against observations  
 Green: better with fullR IASI  
 Red: worse than reference with diagonal R

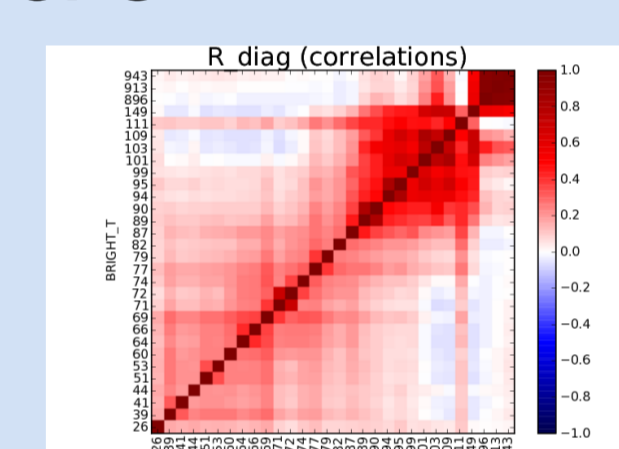
EVA: Slightly positive impact in all variables and regions  
 EVO: Neutral and very slightly positive impact

## VI) CrIS using full R

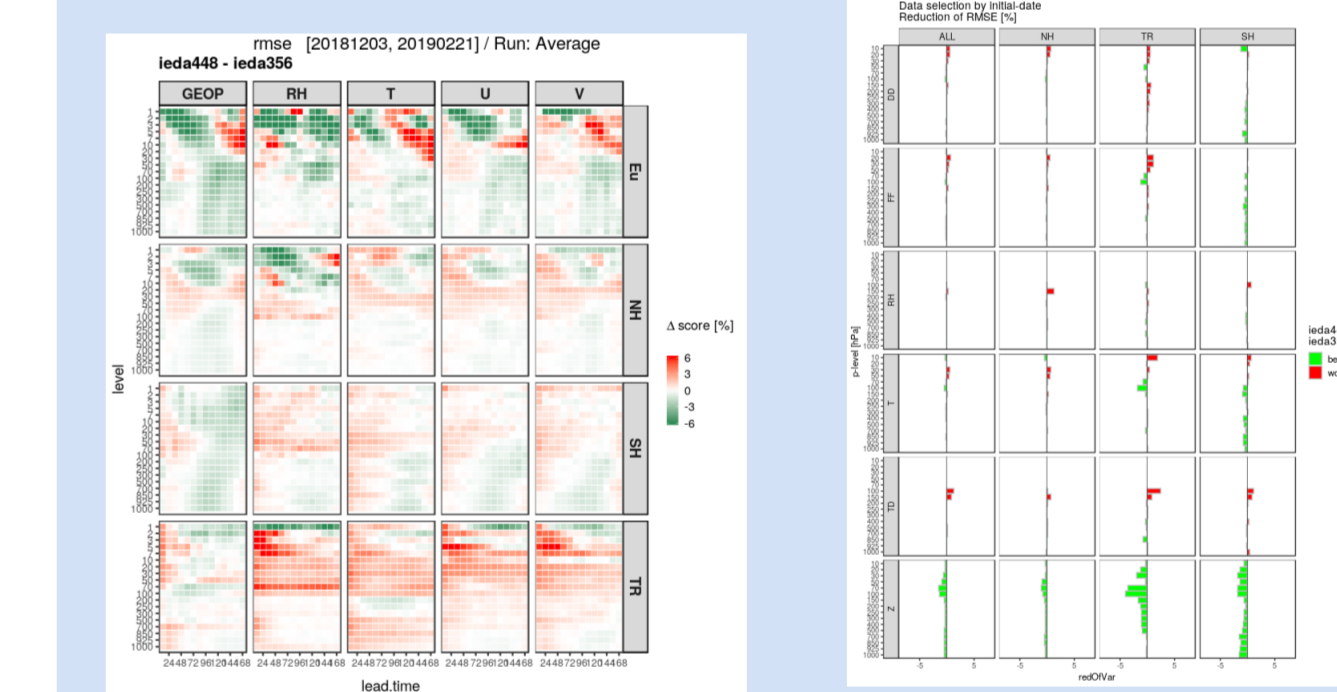
ICON EnVar + LETKF @26/52km  
 CrIS FSR on NOAA-20 full R 1.75  
 30 active out of 431 channel data set RTTOV 12; 3 months in winter IASI with full R 1.0

## Covariance matrix CrIS

CrIS cov matrix by Desroziers method over 11 weeks in winter  
 Red: stronger correlation



## Forecast verification



Evaluation CrIS full R 1.75 rmse 3 months in winter  
 Left: against analysis  
 Right: against observations upper air rmse

Green: better with additional CrIS channels  
 Red: worse than reference without any CrIS channels  
 EVO: Neutral and slightly positive in SH tropical stratosphere negative

## VII) Conclusion:

- IV) RecRad
  - Technically tested in DA scheme
  - Different impact whether IASI reference (RawRad) has diagonal or already full R
  - No strong sensitivity of cov matrix to season or period
  - Best cut-off limit for eigenvalues 0.2
  - Ongoing: Further tuning of RecRad

- V) IASI with inter-channel correlations
  - Small but significant positive impact
  - Operational since 27/02/2019

- VI) CrIS FSR on NOAA-20 with inter-channel correlations
  - Preliminary results

Ongoing: More active IASI channels  
 ▪ Preliminary investigations

