

# **Suggesting a 1DVAR System for NWP Assimilation Pre- Processing**

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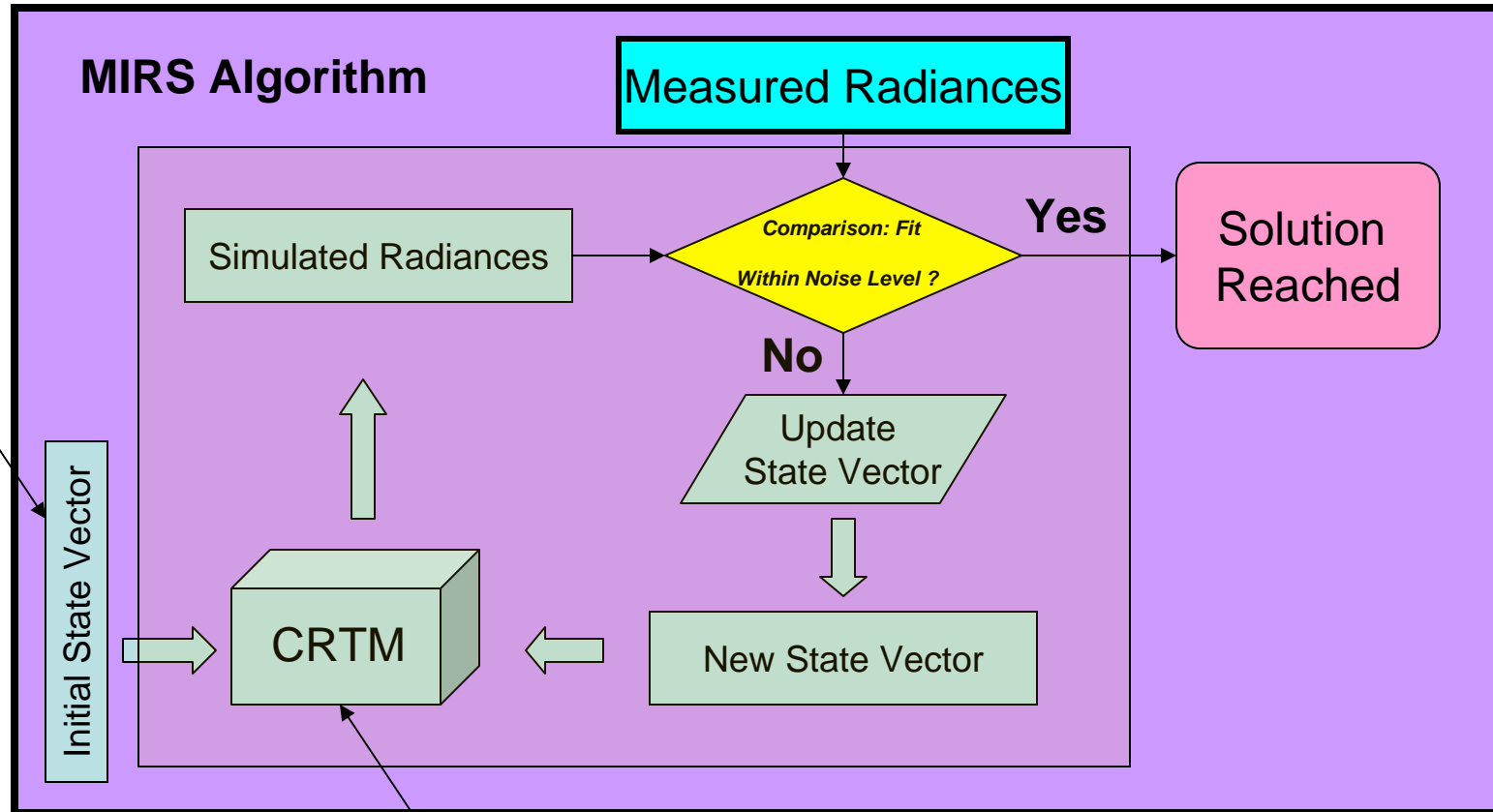
*16<sup>th</sup> International TOVS Study Conference (ITSC-16), Angra dos Reis, Brazil*

# Layout

- **Scope/Agenda of the presentation**
  - Present a 1DVAR system to pre-process radiances
  - Show the applicability in non-conventional areas (over land, sea ice, etc. and in cloudy/rainy conditions)
  - Promote it as a pre-processor to NWP assimilation models
- **The Microwave Integrated Retrieval System**
  - System Design & Mathematical Basis
  - Assimilation/Retrieval
- **Potential Benefits to NWP Assimilation**
  - QC & Precipitation/Ice Detection
  - Suggested sounding in Precipitating Conditions
  - Emissivity Spectrum & Surface Type Information
  - Expanded coverage

# The MIRS System

# System Design & Architecture



Could be from:  
-Climatology,  
-Regression  
-NWP

Community Radiative Transfer Model  
(validity: in clear, cloudy, precip)

# The MIRS System

- **Mathematical Basis:** *Minimization of Cost Function*

$$J(\mathbf{X}) = \left[ \frac{1}{2} (\mathbf{X} - \mathbf{X}_0)^T \times \mathbf{B}^{-1} \times (\mathbf{X} - \mathbf{X}_0) \right] + \left[ \frac{1}{2} (\mathbf{Y}^m - \mathbf{Y}(\mathbf{X}))^T \times \mathbf{E}^{-1} \times (\mathbf{Y}^m - \mathbf{Y}(\mathbf{X})) \right]$$

- **State Vector X comprises:**

- Temperature & Moisture profiles
- Non-precipitating cloud **profile**
- **Hydrometeors profiles (liquid & frozen phases)**
- Skin Temperature
- Surface Emissivity spectrum

Atmosphere

Surface

- **EOF Decomposition** *to balance X with information content of radiances Y*

$$\Theta = \mathbf{L}^T \times \mathbf{B} \times \mathbf{L}$$

**Diagonal Matrix**  
*(used in reduced space inversion)*

**Transf. Matrix**  
*(computed offline)*

**Covariance matrix**  
*(geophysical space)*

# Assumptions in the Assimilation with MIRS

*(Usual suspects...)*

A variable transformation is needed for Q, Hydr

- The PDF of  $X$  is assumed Gaussian
- Operator  $Y$  able to simulate measurements-like radiances
- Errors of the model and the instrumental noise combined are assumed (1) non-biased and (2) Normally distributed.
- Forward model assumed locally linear at each iteration.

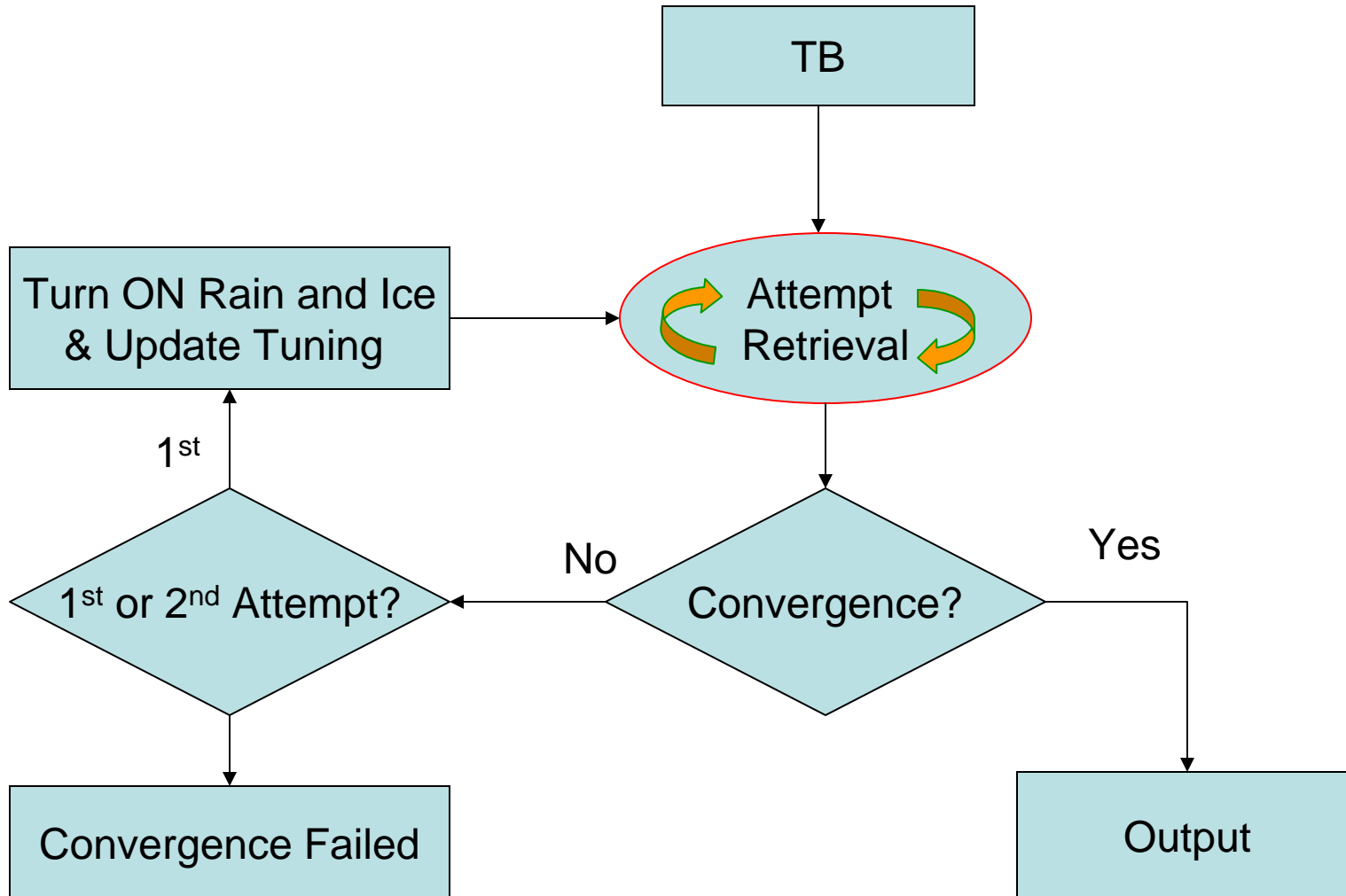
Rely on CRTM

Usually valid

Valid assumption. Reminder: This is just the RTM FWD model. No cloud model coupled with the RTM

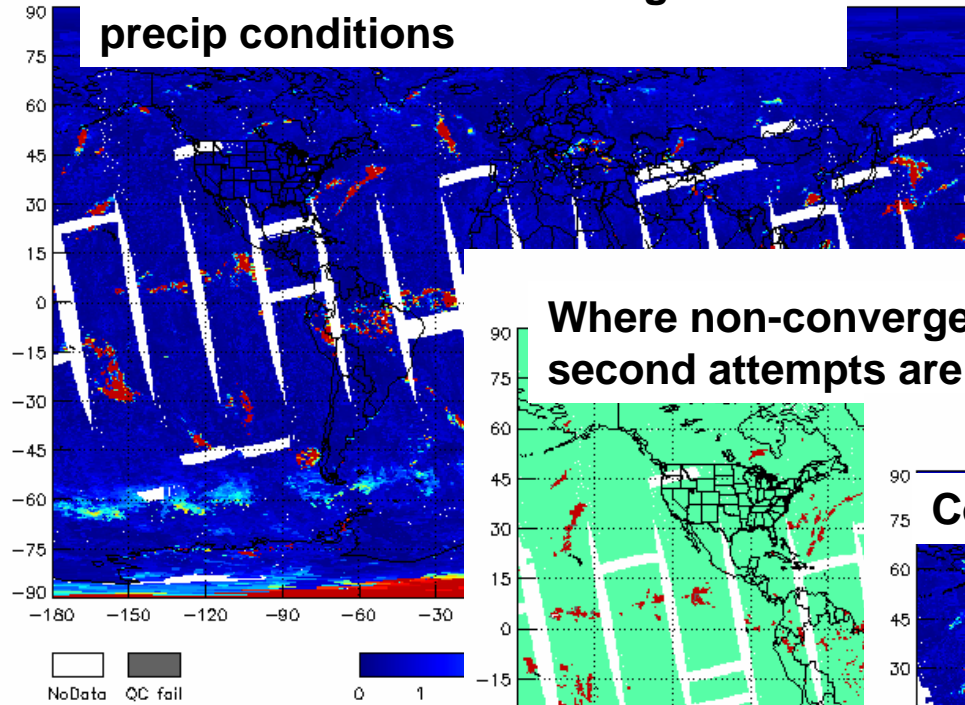
CRTM used in MIRS to provide:  
(1) Simulation of Radiances and  
(2) Jacobians for all parameters

# How Does MIRS work in Precipitating Conditions ?

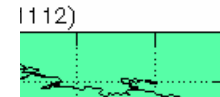
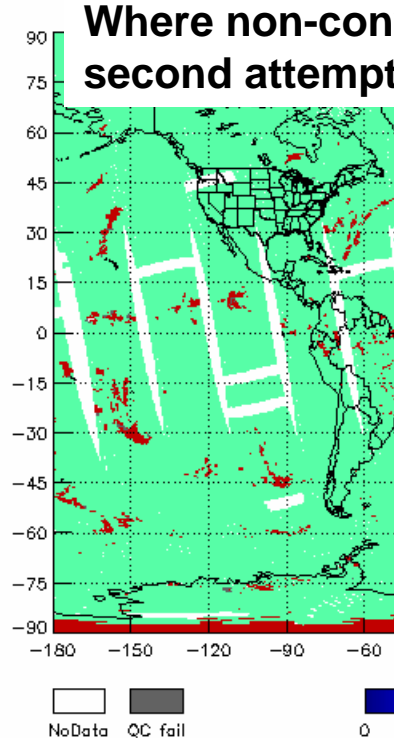


# Example of convergence

First Retrieval: non-convergence in precip conditions



Where non-convergence occurs, second attempts are made

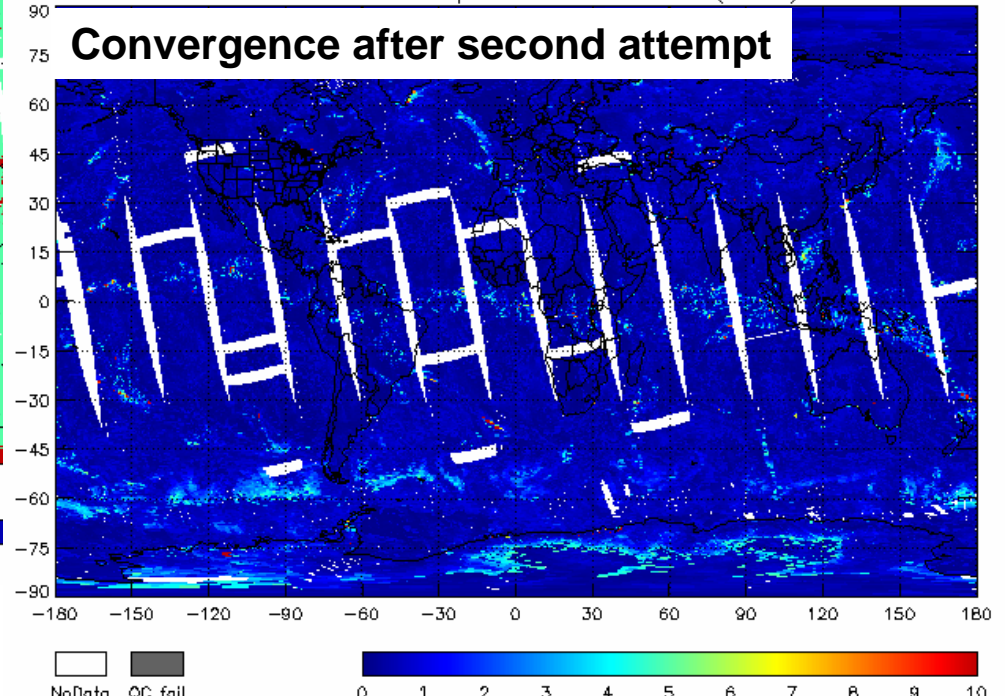


Convergence Metric:

$$\phi^2 = [(Y^m - Y(X))^T \times E^{-1} \times (Y^m - Y(X))]$$

MIRS N18 EDR Chi Square 2008-04-16 Asc (V1112)

Convergence after second attempt





# How is Assimilation done in Cloudy/Precipitating Conditions?

- X includes clouds and Hydrometeors Parameters
- Rely on CRTM to provide radiances that account for scattering and absorption due to cloud/rain/ice
- Rely on CRTM to provide Jacobians of Radiances wrt cloud/rain/ice parameters
- Constraints provided in the Covariance Matrix

**Cloud and Hydrometeors parameters are treated in a similar way as the traditional temperature and moisture parameters**

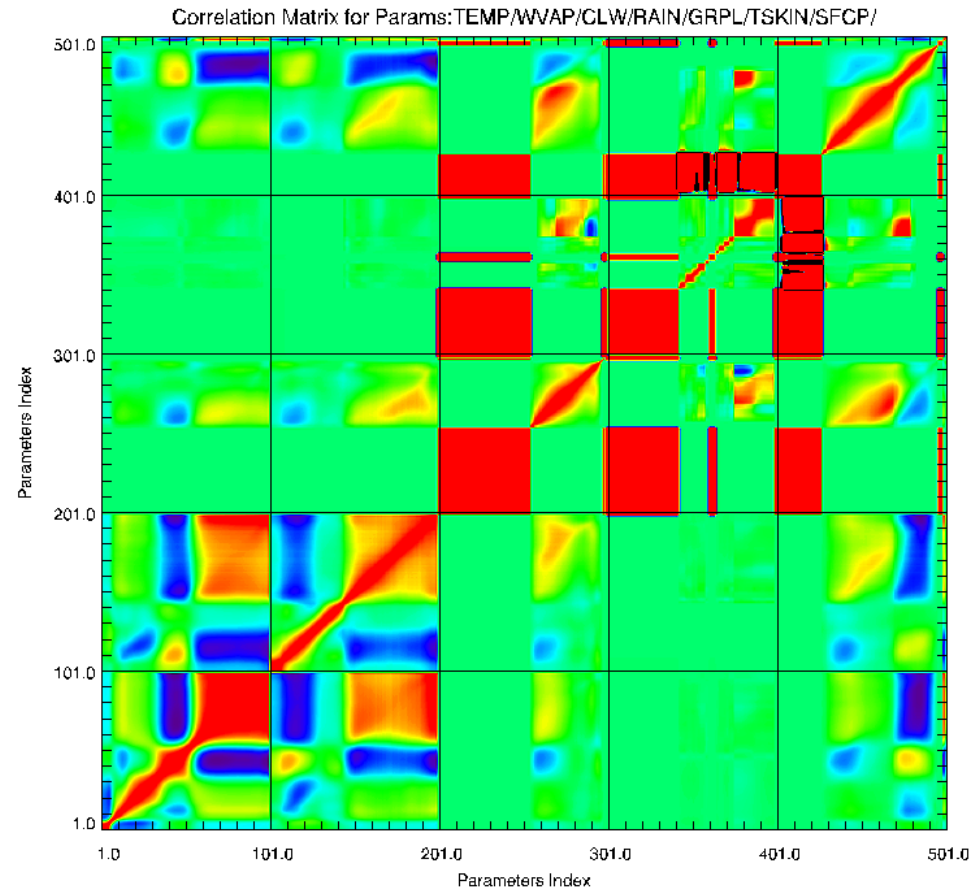
**No cloud resolving model is used in the forward operator**

# Covariance Matrix Used in MIRS

Obtained by combining ECMWF-based covariance with WRF-based correlations for rain (correlations with Ice, Temperature, Humidity, etc)

This assures that T, Q, CLW, Rain and Ice Retrievals are physically consistent, on average.

**No cloud resolving model is used in the forward operator**

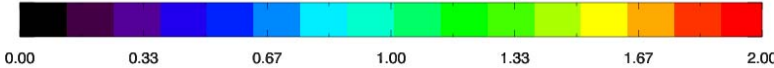
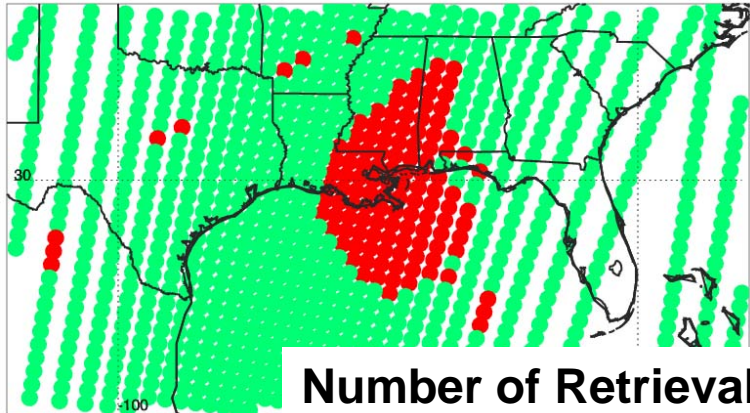
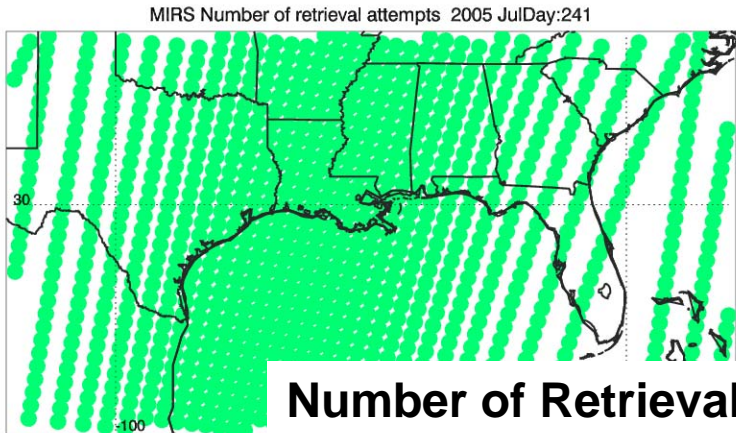


→ Makes the covariance matrix very important

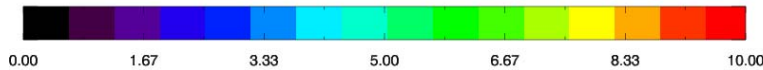
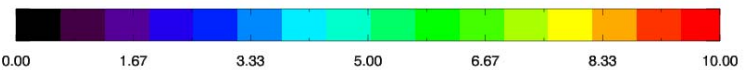
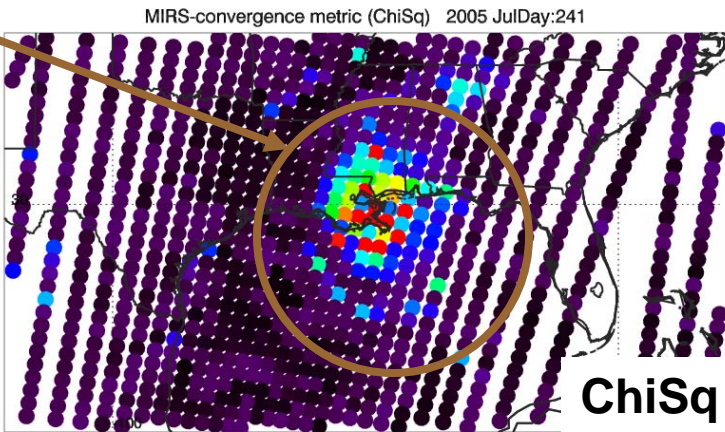
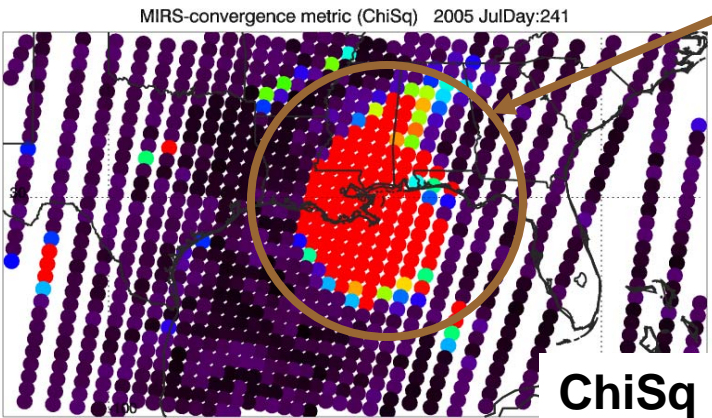
# Results of MIRS (Convergence)

## Absorption Only (no rain, no ice)

## Hydrometeors turned ON

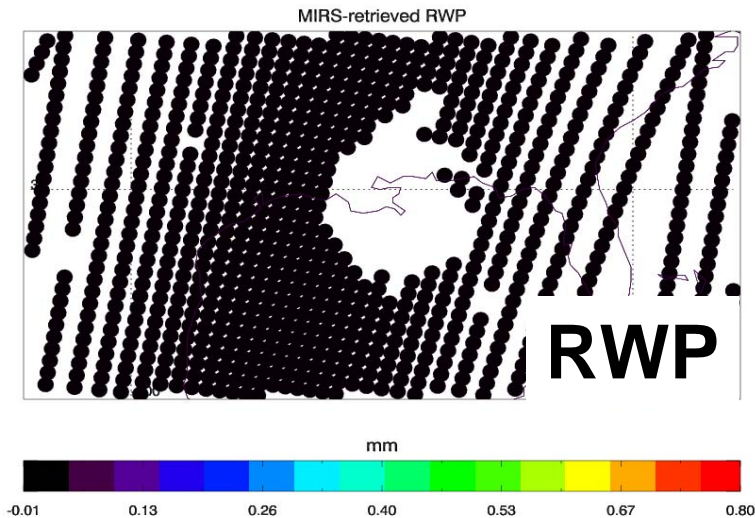
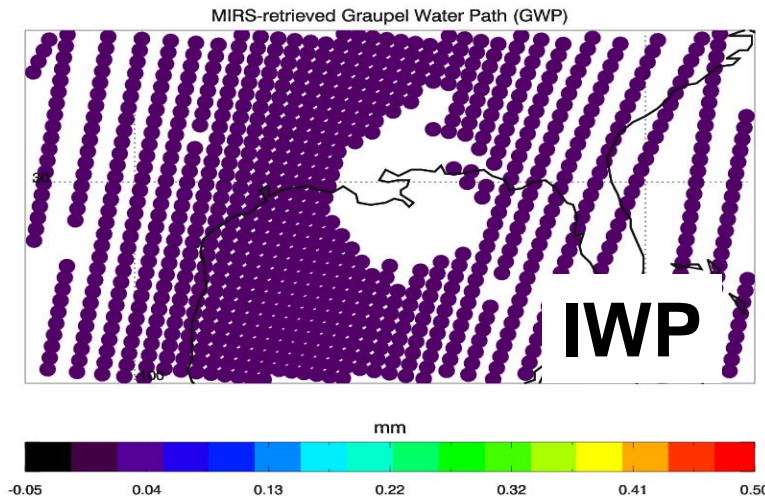


Significant improvement in convergence



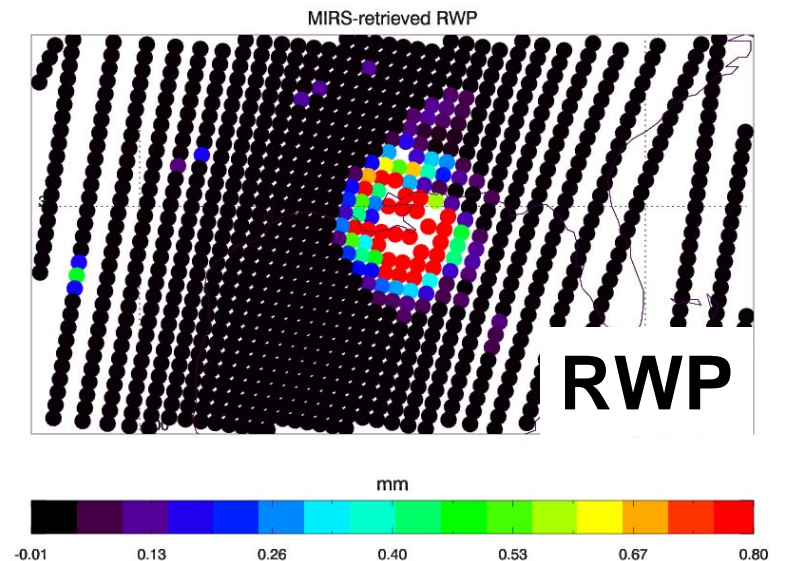
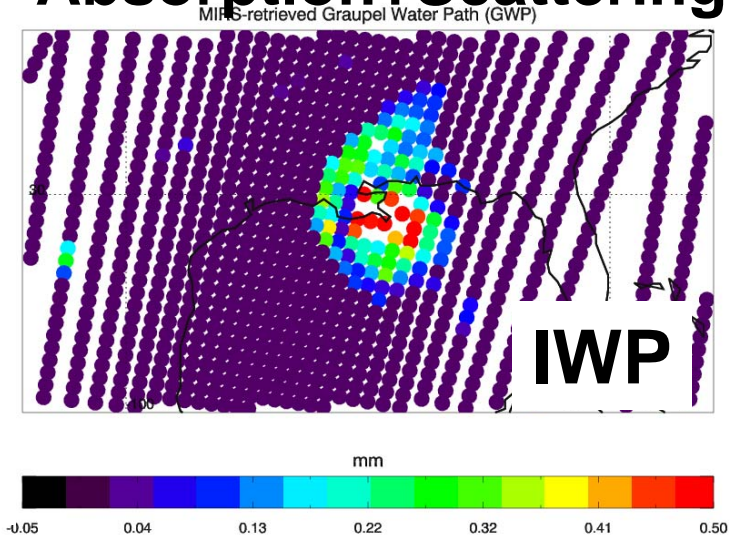
# Results of MIRS (Hydrometeors retrieval -GWP)

## Absorption Only

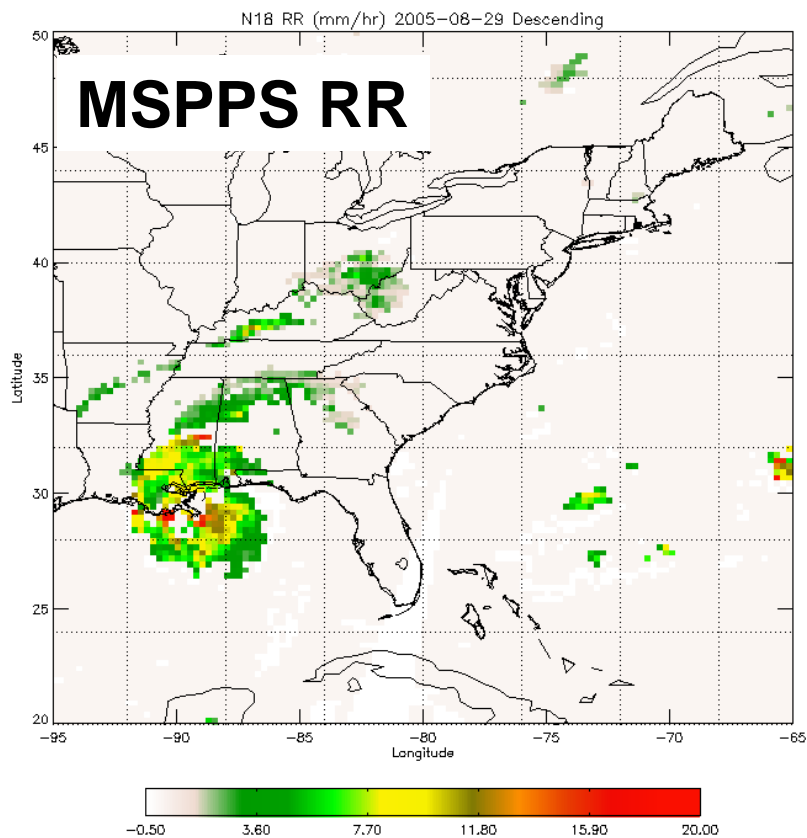


No convergence was reached before

## Absorption+Scattering

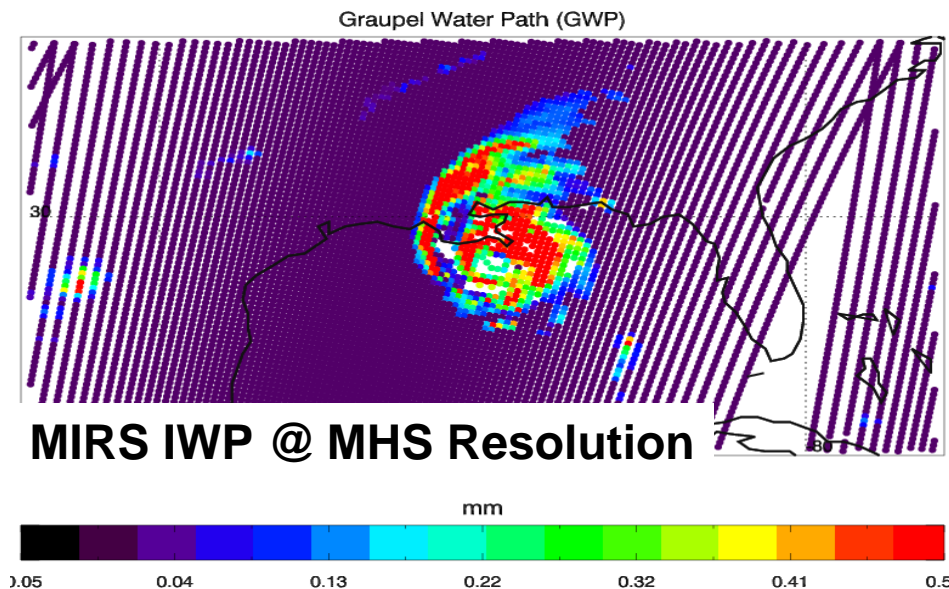
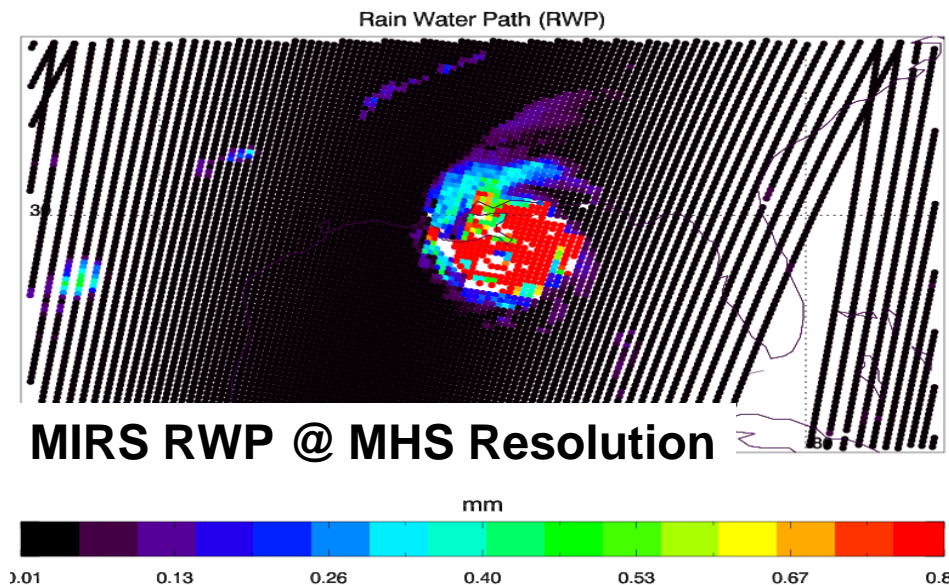


# Comparison at MHS Resolution



High spatial correlation MSPPS / MIRS

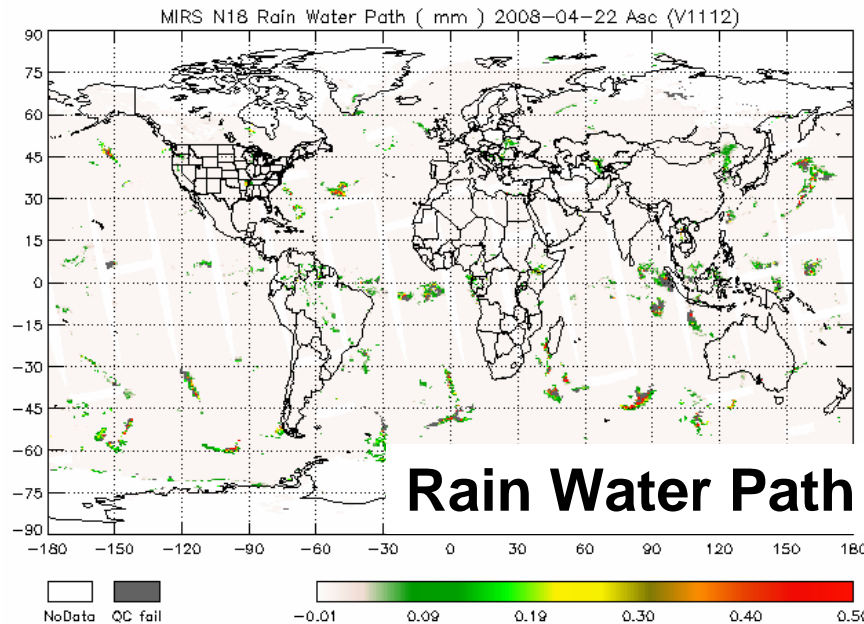
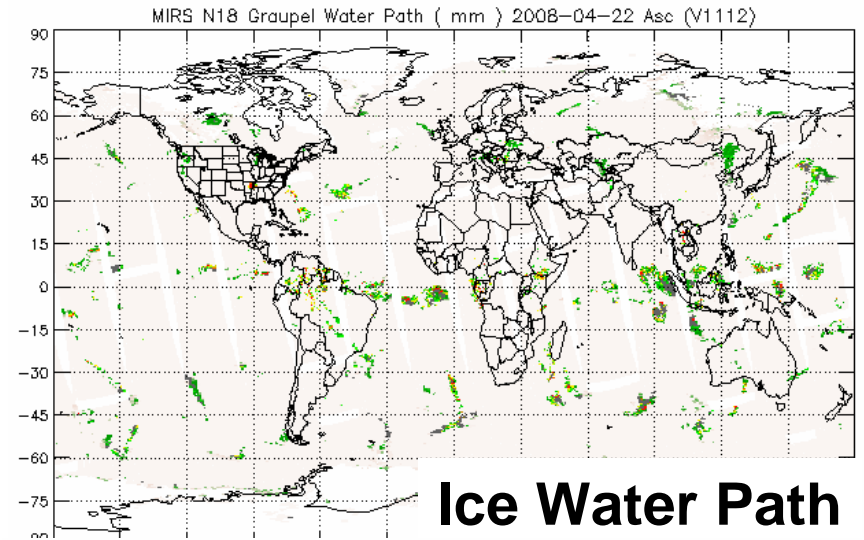
Coastal transition smooth



# **Potential Benefits to NWP Assimilation**

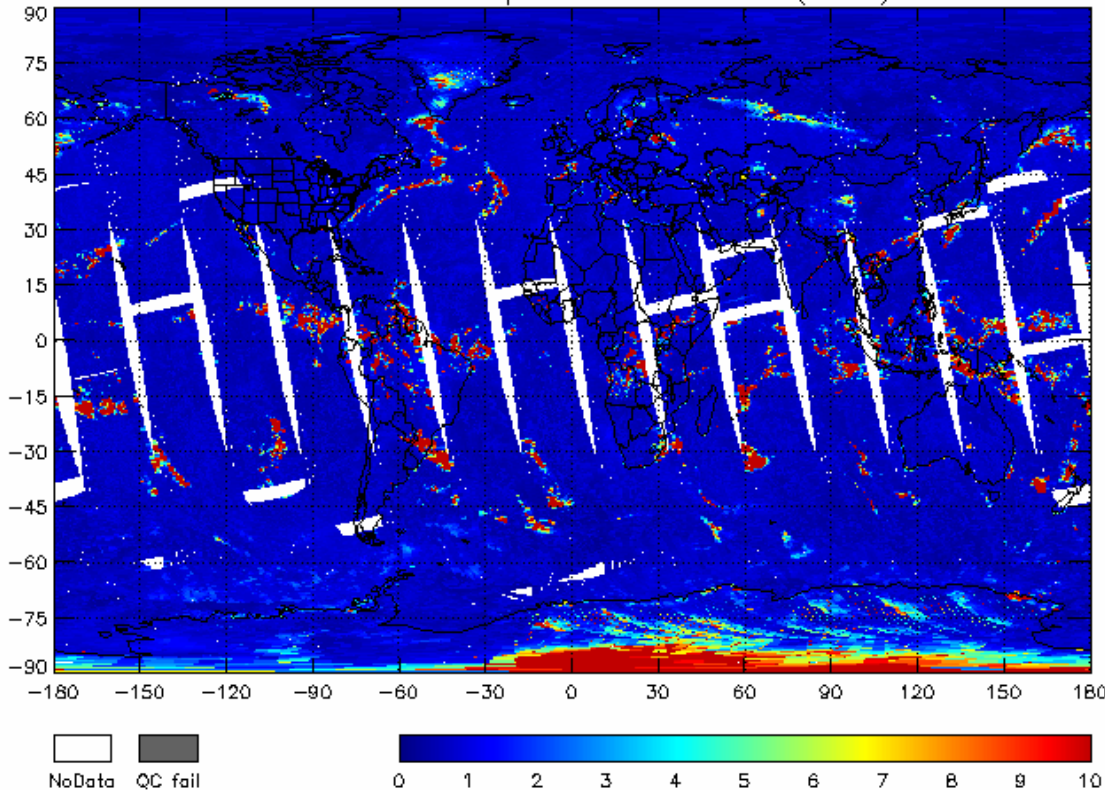
# Detecting Cloud/Precip/Ice

Ice and Rain could be retrieved at the same time or one without the other, depending on the signal in the radiances and the Jacobians from CRTM.



# Providing NWP with QC

MIRS N18 EDR Chi Square 2008-04-13 Asc (V1071)



Convergence Metric:

$$\phi^2 = [(Y^m - Y(X))^T \times E^{-1} \times (Y^m - Y(X))]$$



Non-convergence is a powerful QC tool for NWP assimilation.

It could signal a contamination, a surface mixture that is hard to model or anything that might be inconsistent with the forward operator

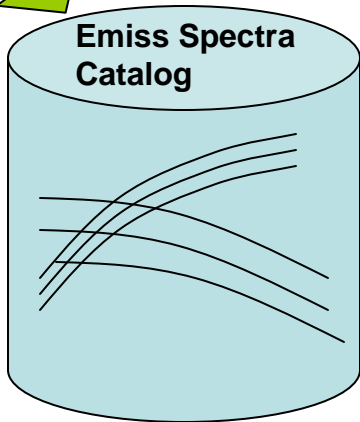


# Detecting Surface Type

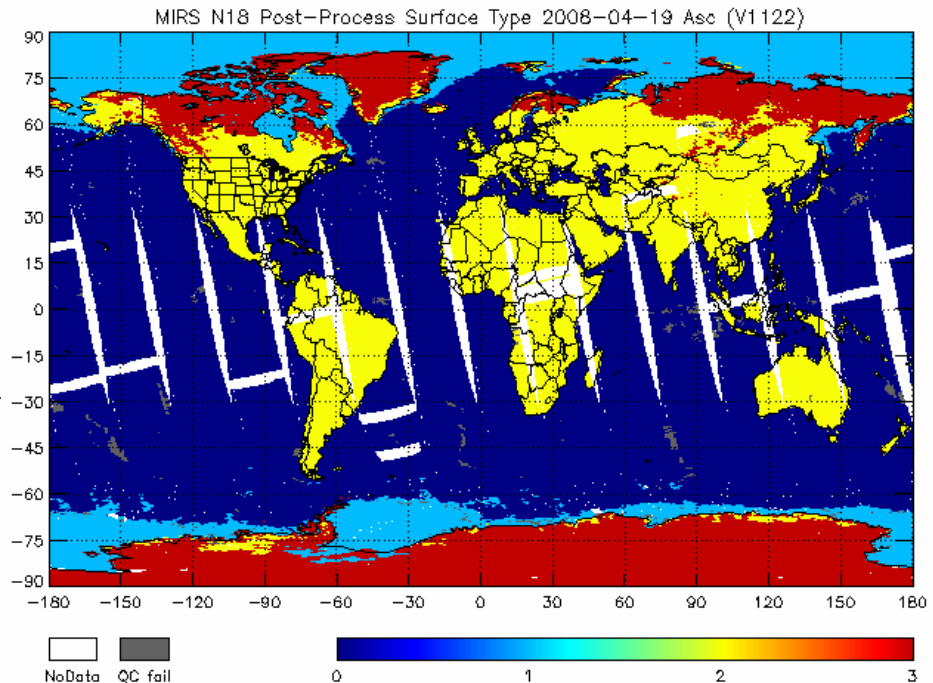
- Thanks to retrieved Emissivity spectrum:
  - Sea Ice detected over water
  - Snow detected over land

Retrieved Emissivity Spectrum

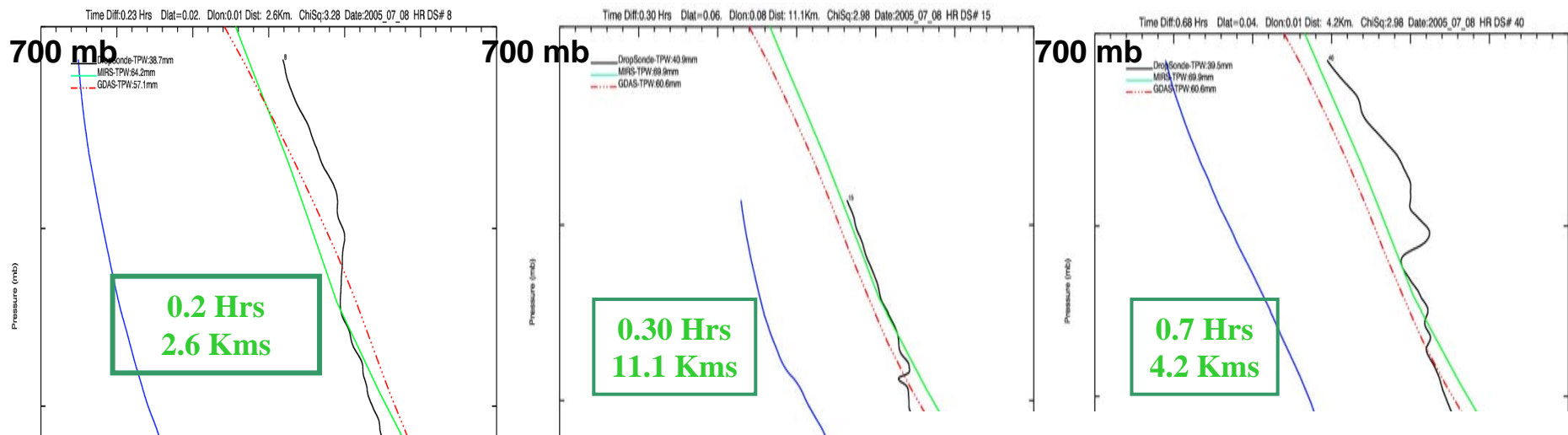
Lookup



Type Detection

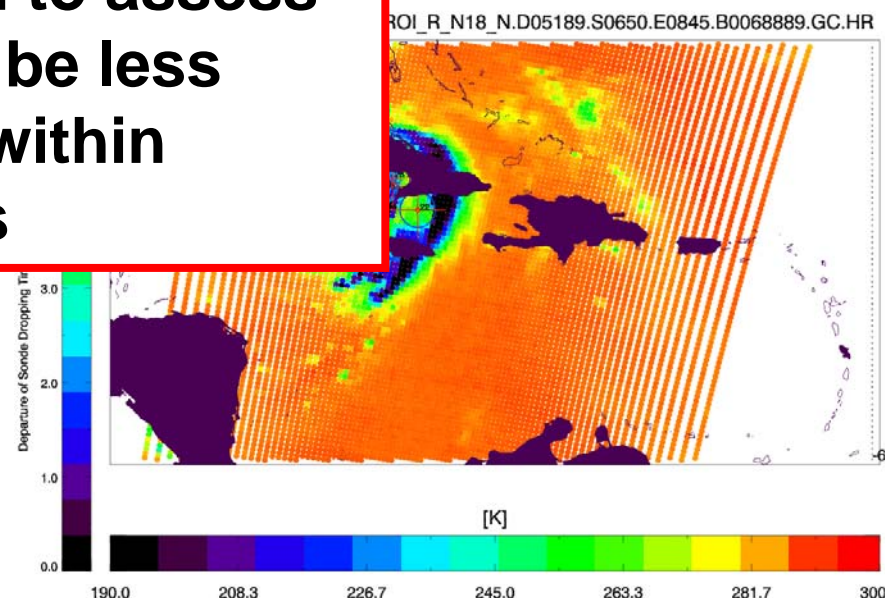


# Provide an Estimate of the State Vector, Including in Precip Conditions

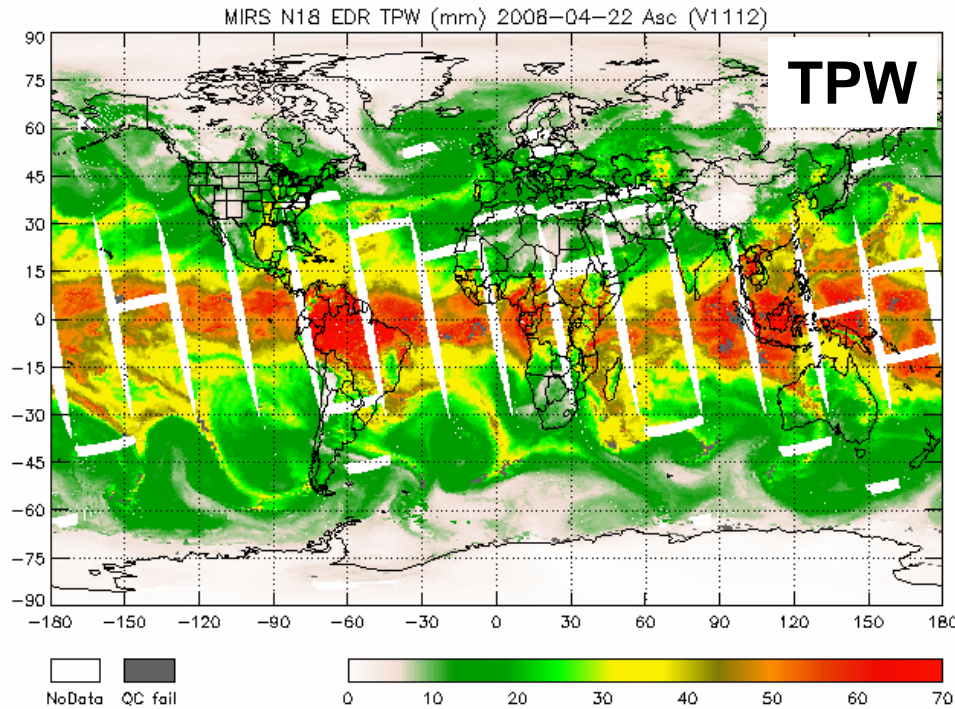


**Accuracy is hard to assess  
but estimated to be less  
than 3~5 Kelvin within  
convective areas**

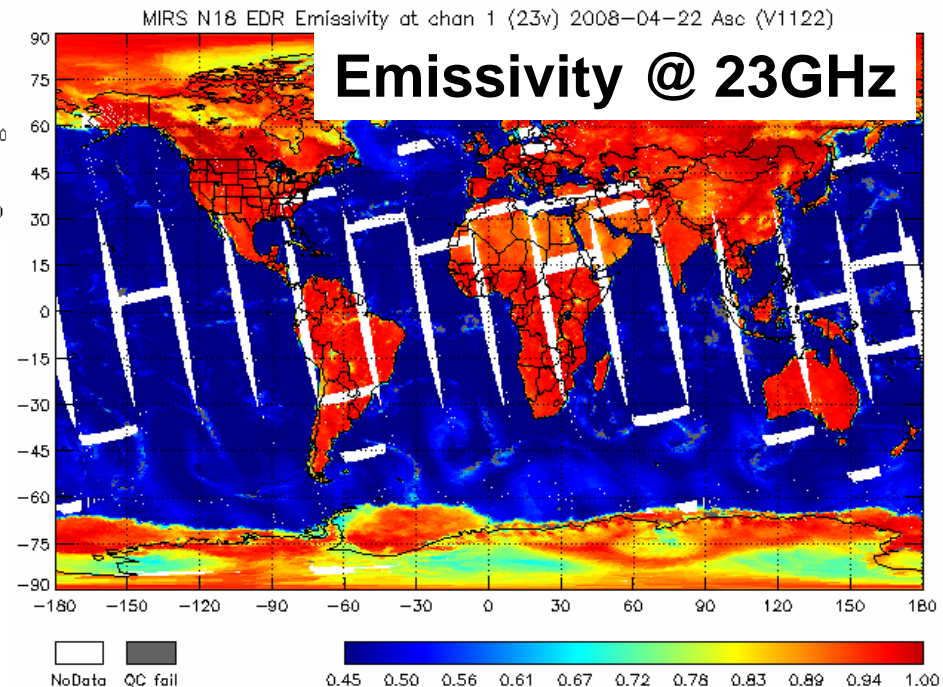
- Retrieval
- - GDAS
- DropSonde
- Profile of DS Distance



# Expanded (Global) Coverage



Most parameters are retrieved globally (over land/ocean/sea ice/desert/snow/coast/etc)



# Conclusions

- No difference between a variational Retrieval and 1DVAR radiance assimilation
- Algorithm estimates sounding, cloud, precipitation and surface parameters in non-traditional areas (could be used as 1<sup>st</sup> Guess to NWP assimilation models)
- MIRS also offers powerful QC indicators for NWP assimilation
- MIRS could be considered a rapid pre-processing tool that could help the full 3D or 4D VAR NWP assimilation
- MIRS is generic (for all MW sensors), so treatment of sensors data is consistent (used routinely for AMSU, MHS, SSMIS)
- MIRS is freely available to scientific community.